



Exploring Neutrinos via Oscillations in the Atmosphere, at Reactors, and at Accelerators

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Indiana University
August 7, 2015

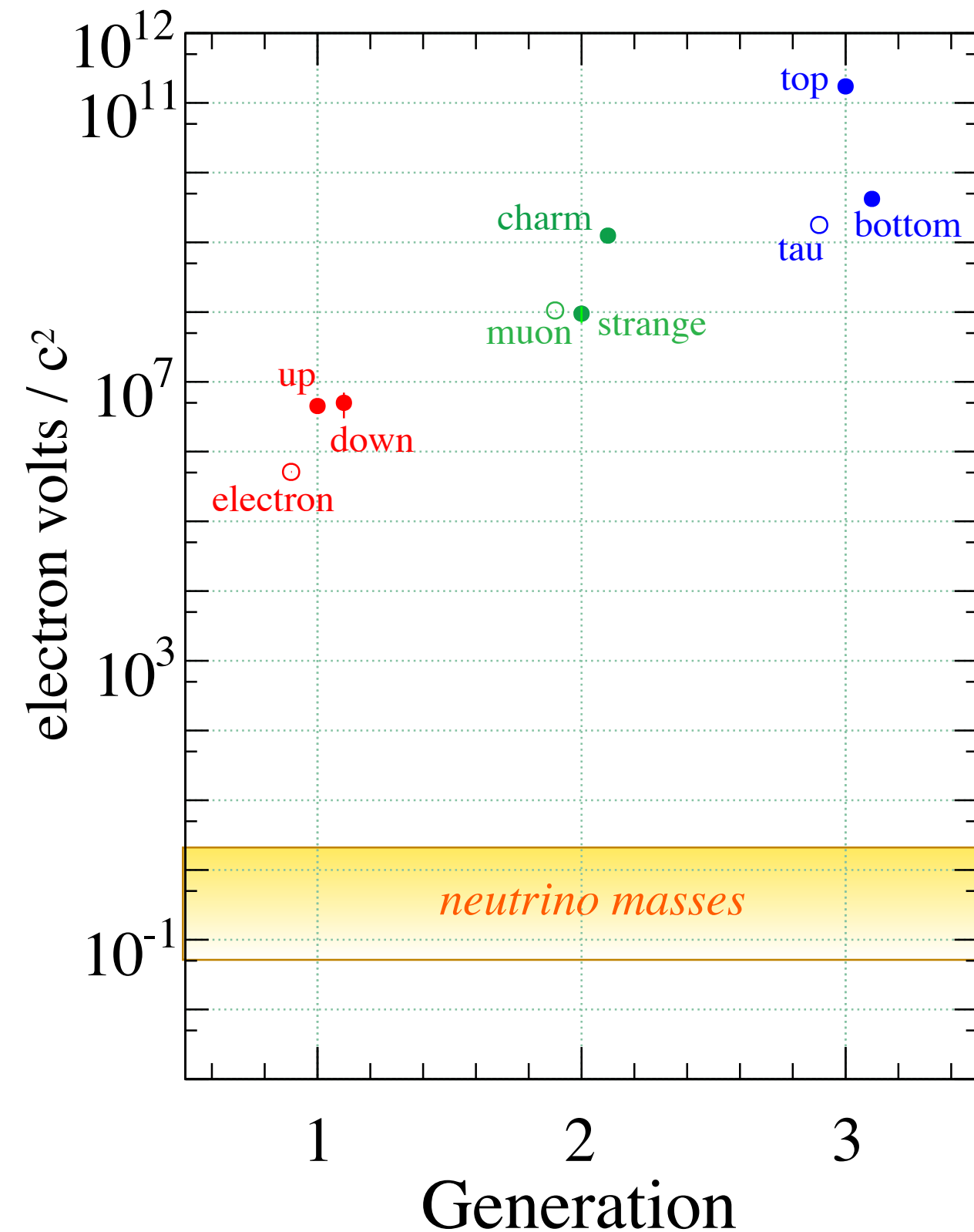


DPF2015

AUG 4-8 | ANN ARBOR, MI

Meeting of the Division of Particles & Fields
of the American Physical Society

4-8 August 2015
America/Detroit timezone



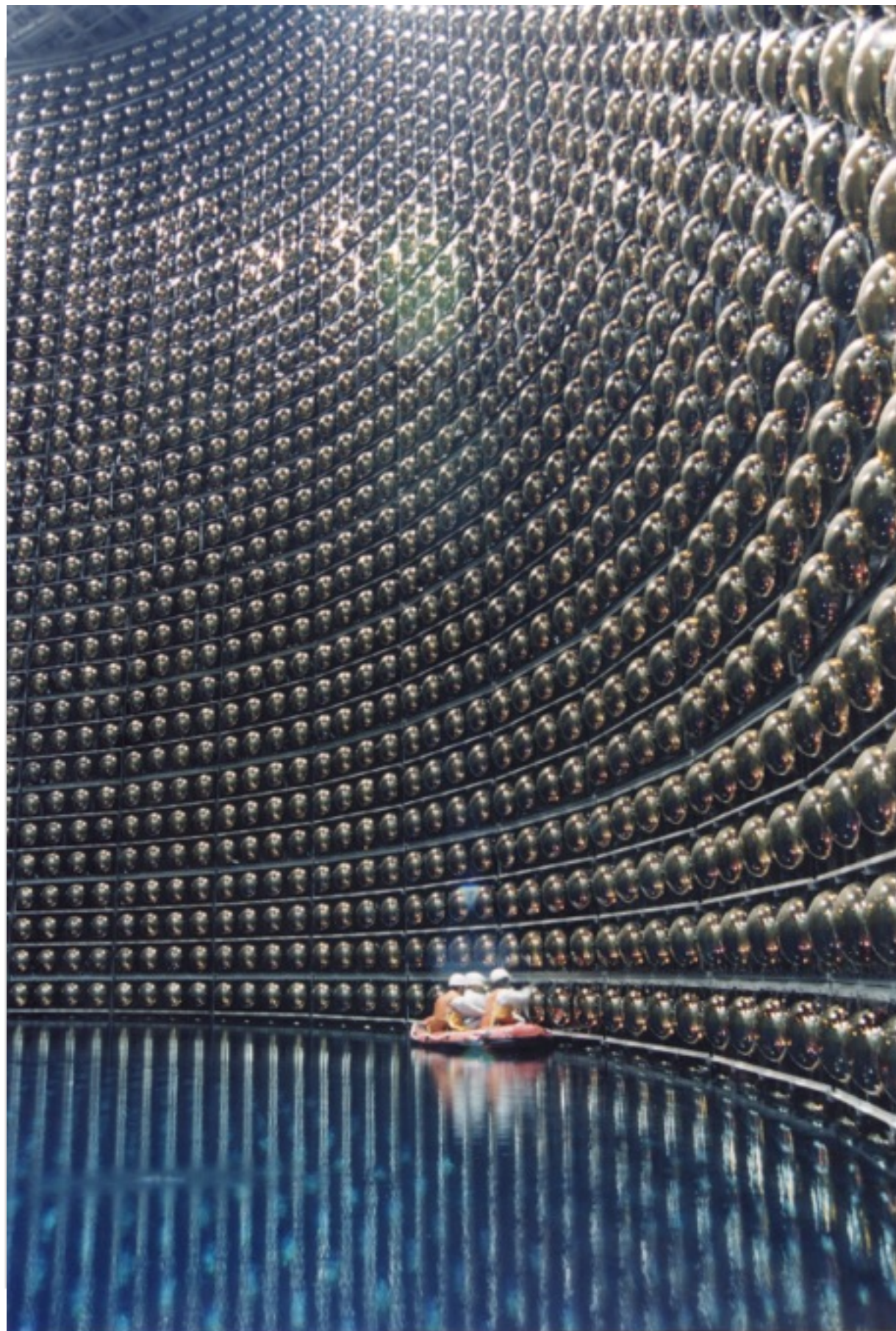
Neutrino Mass

see-saw mechanism

$$\mathcal{L}_{\text{mass}} = \begin{bmatrix} \nu_L & \nu_R \end{bmatrix} \begin{bmatrix} 0 & m \\ m & M \end{bmatrix} \begin{bmatrix} \nu_L \\ \nu_R \end{bmatrix}$$

$$\lambda \simeq \frac{m^2}{M} \simeq \frac{(1 \text{ GeV})^2}{10^{11} \text{ GeV}} = 0.01 \text{ eV}$$

Neutrino masses and mixing are a window on physics approaching the GUT scale



Super-Kamiokande

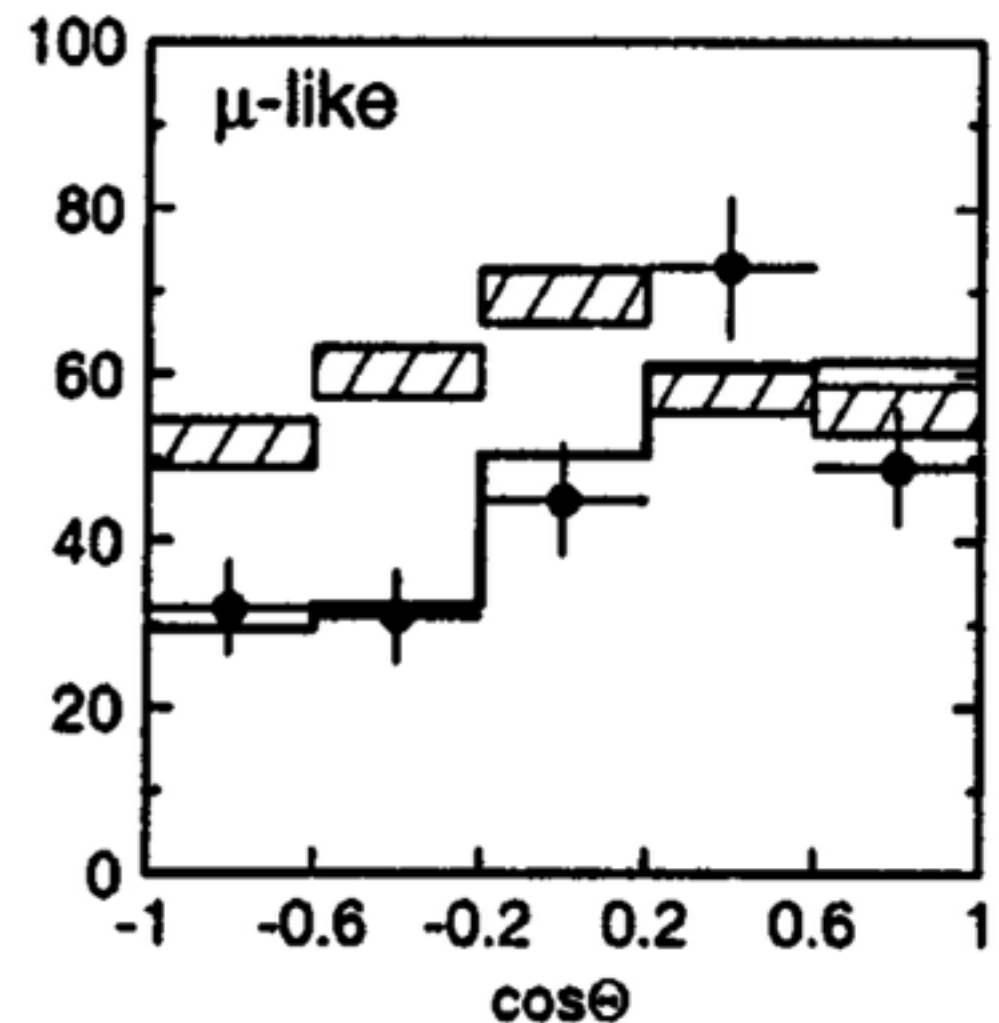
Mass found in elusive particle;
Universe may never be the same

New York Times, page 1, June 5, 1998

Evidence for oscillation of
 atmospheric neutrinos,

Phys.Rev.Lett.81:1562-1567,1998

4400+ citations to date, #24 all time



Neutrino oscillations

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$P_{\alpha\beta} = \sin^2(2\theta) \sin^2 \left(1.27 \Delta m^2 [\text{eV}^2] \frac{L [\text{km}]}{E [\text{GeV}]} \right)$$

$$|\Delta m_{32}^2| \equiv |m_3^2 - m_2^2| \\ \simeq 2 \times 10^{-3} \text{ eV}^2$$

$$\Delta m_{31}^2 \simeq \Delta m_{32}^2$$

$$\Delta m_{21}^2 \simeq 8 \times 10^{-5} \text{ eV}^2$$

$$\nu_\mu \rightarrow \nu_\mu$$

$$\nu_\mu \rightarrow \nu_\tau$$

atmospheric and
long baseline

$$\nu_e \rightarrow \nu_e$$

$$\nu_\mu \rightarrow \nu_e$$

reactor and
long baseline

$$\nu_e \rightarrow \nu_e$$

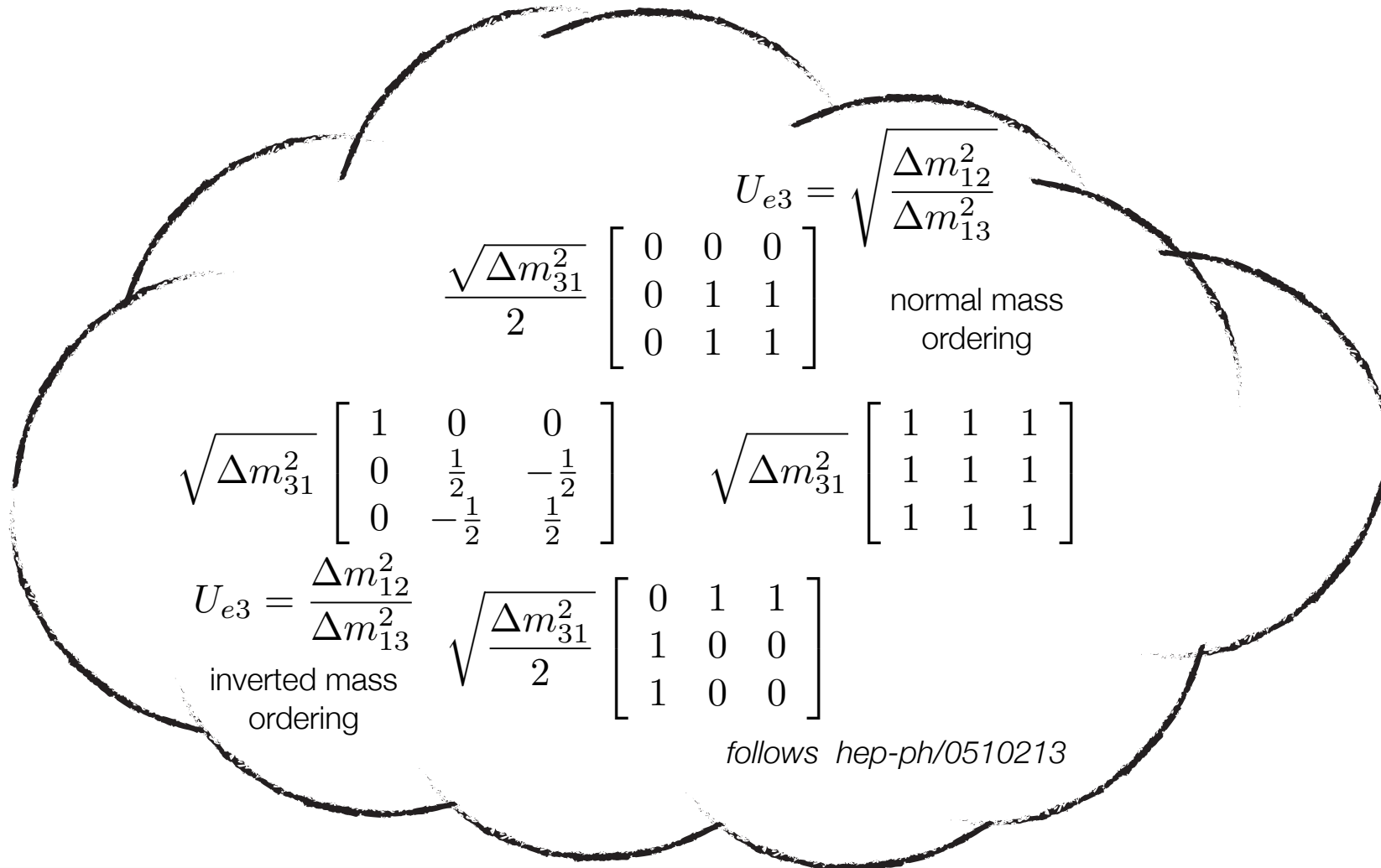
$$\nu_e \rightarrow \nu_\mu + \nu_\tau$$

solar and
reactor

Neutrino mass textures

Measurements

- θ_{12}
- θ_{13}
- θ_{23}
- δ_{CP}
- Mass ordering
- Dirac/Majorana



$$U_{e3} = \sqrt{\frac{\Delta m_{12}^2}{\Delta m_{13}^2}}$$

$$\frac{\sqrt{\Delta m_{31}^2}}{2} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \quad \text{normal mass ordering}$$

$$\sqrt{\Delta m_{31}^2} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \frac{1}{2} & -\frac{1}{2} \\ 0 & -\frac{1}{2} & \frac{1}{2} \end{bmatrix} \quad \sqrt{\Delta m_{31}^2} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$

$$U_{e3} = \frac{\Delta m_{12}^2}{\Delta m_{13}^2} \quad \text{inverted mass ordering} \quad \sqrt{\frac{\Delta m_{31}^2}{2}} \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$$

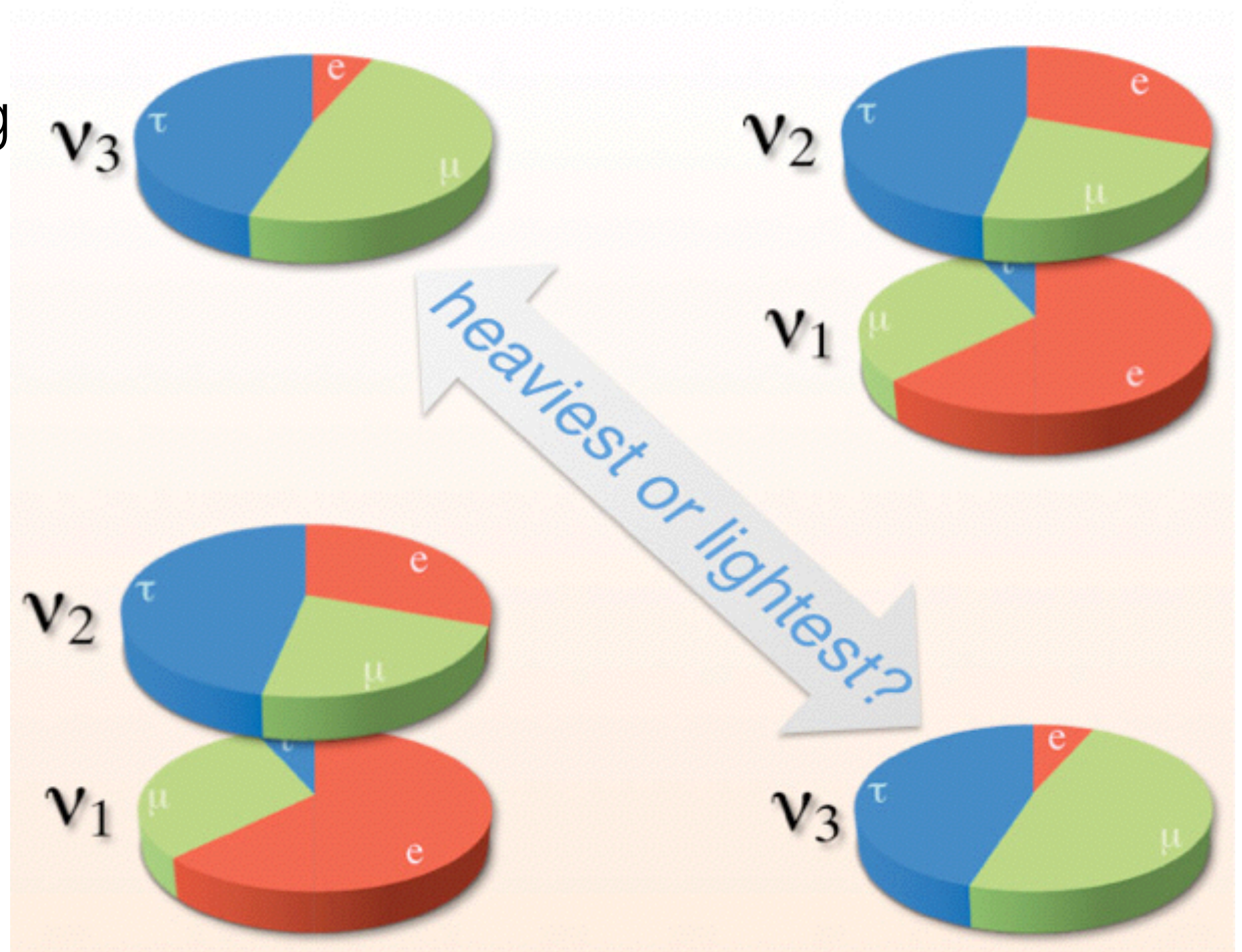
follows hep-ph/0510213

Extrapolate to GUT scale

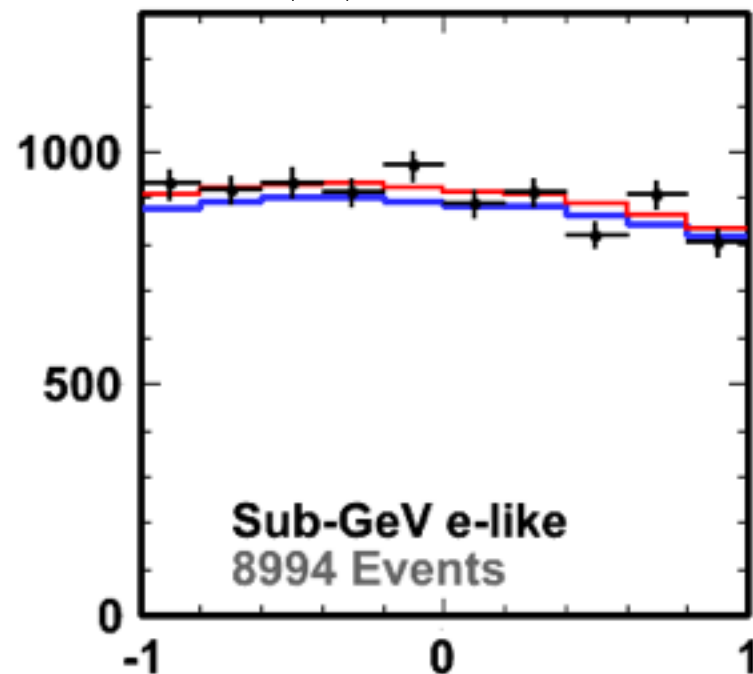
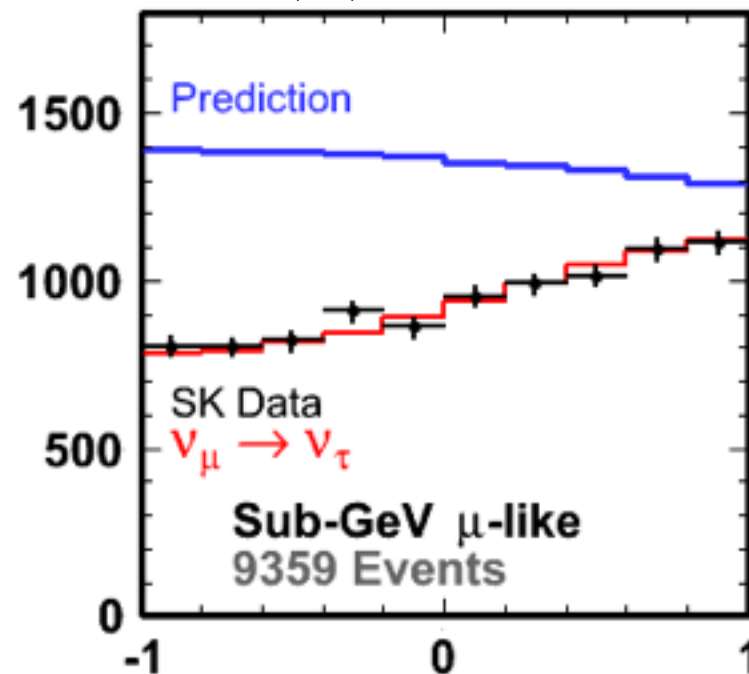
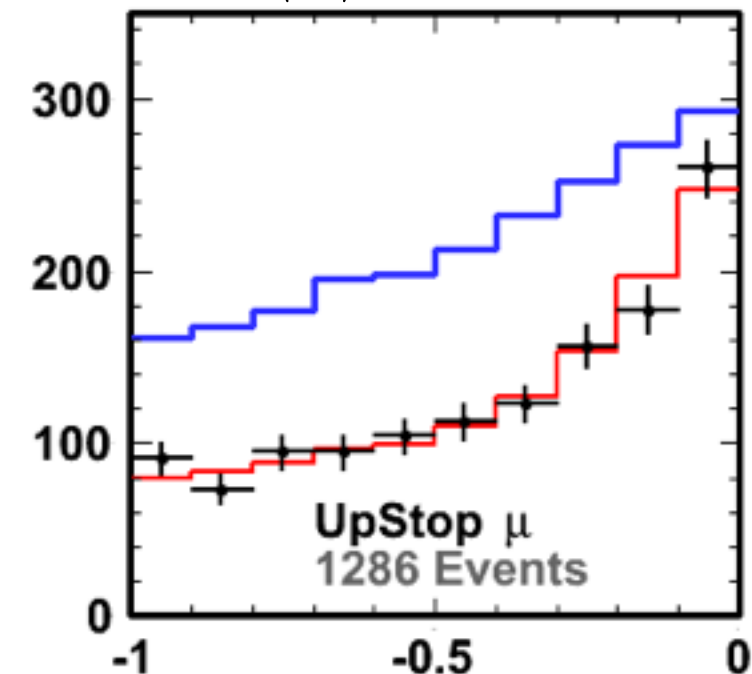
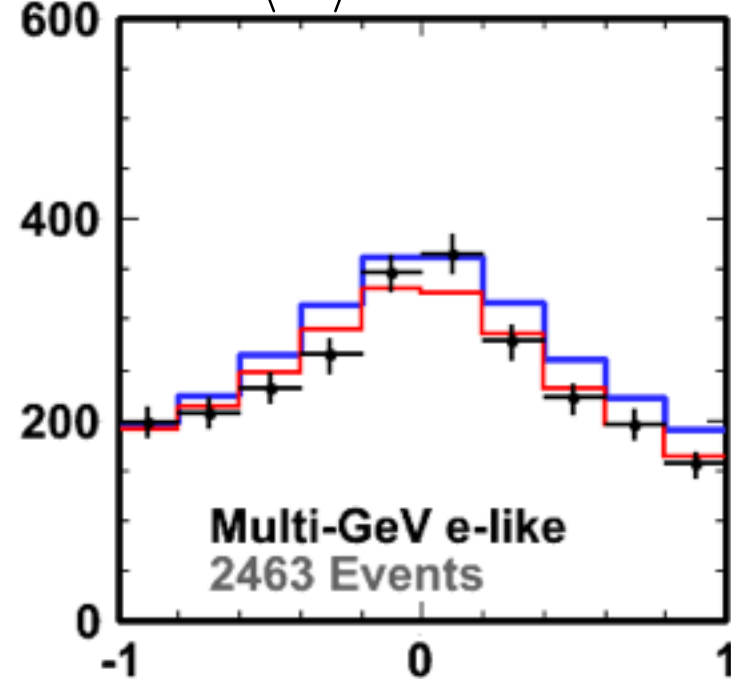
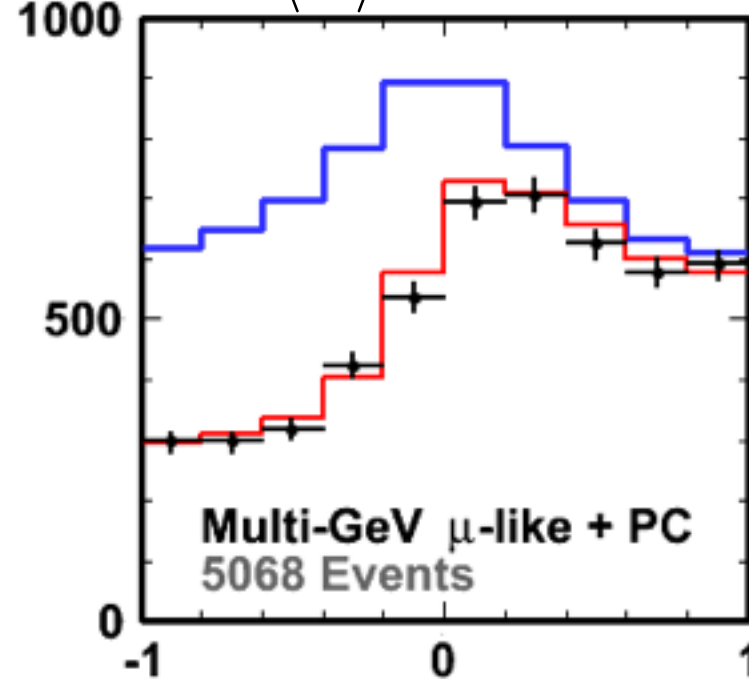
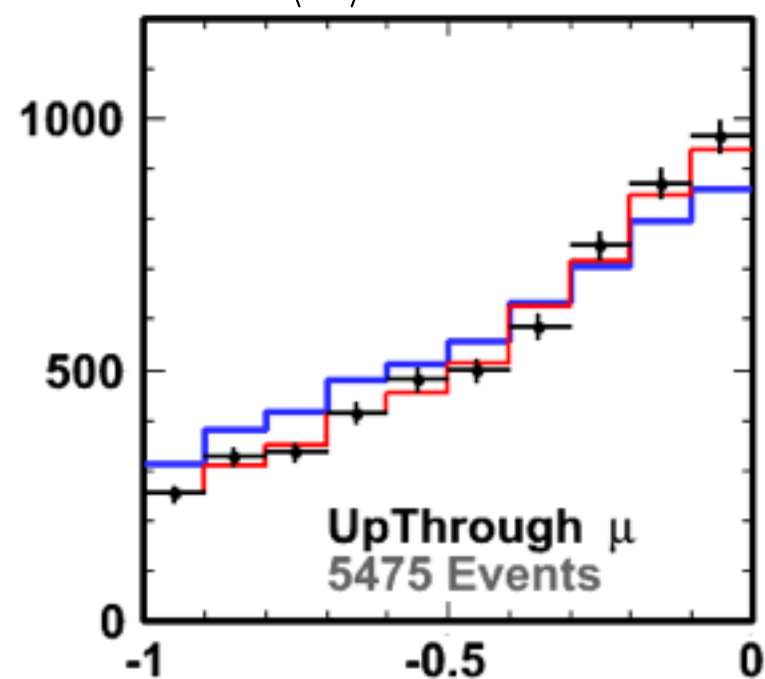
$$|Y_B| \simeq 2.4 \times 10^{-11} |\sin \delta| \left(\frac{\sin \theta_{13}}{0.15} \right) \left(\frac{M_1}{10^{11} \text{ GeV}} \right)$$

Next Questions In Neutrino Physics

- Mass ordering
- Nature of ν_3 - θ_{23} octant
- Is CP violated?
- Is there more to this picture?



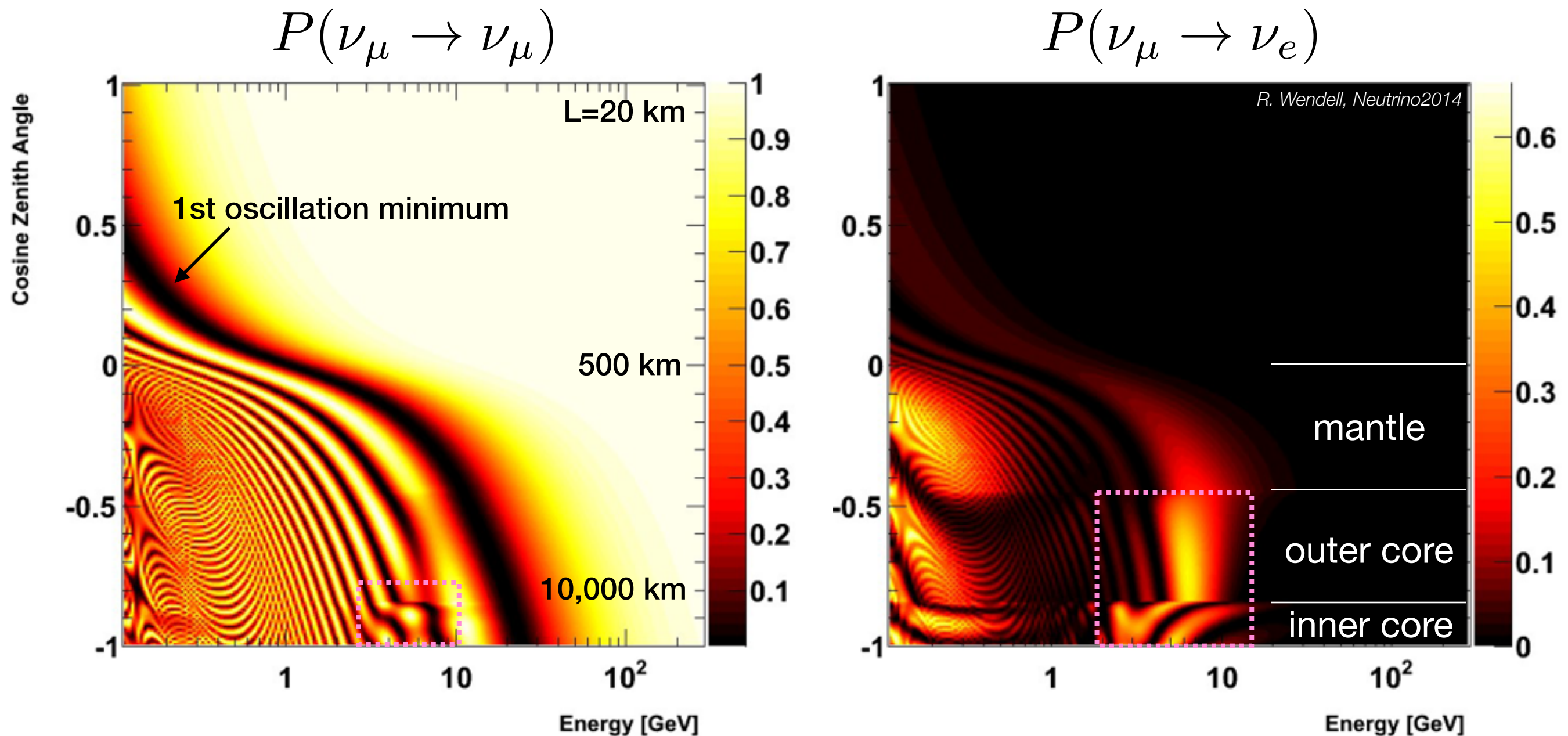
Number of Events

 $\langle E \rangle = 0.8 \text{ GeV}$  $\langle E \rangle = 0.8 \text{ GeV}$  $\langle E \rangle = 10 \text{ GeV}$  $\langle E \rangle = 2 \text{ GeV}$  $\langle E \rangle = 5 \text{ GeV}$  $\langle E \rangle = 100 \text{ GeV}$ 

cos zenith

Super-Kamiokande Atmospheric Neutrinos

Many sub-samples considered
to access different energies, and
flavors



- Matter effects become important near 10 GeV in the most upward-going bins
- Need large exposure to overcome $E^{-1.7}$ power-law fall in spectrum and the effects of having mixed ν_μ , $\bar{\nu}_\mu$, ν_e , and $\bar{\nu}_e$

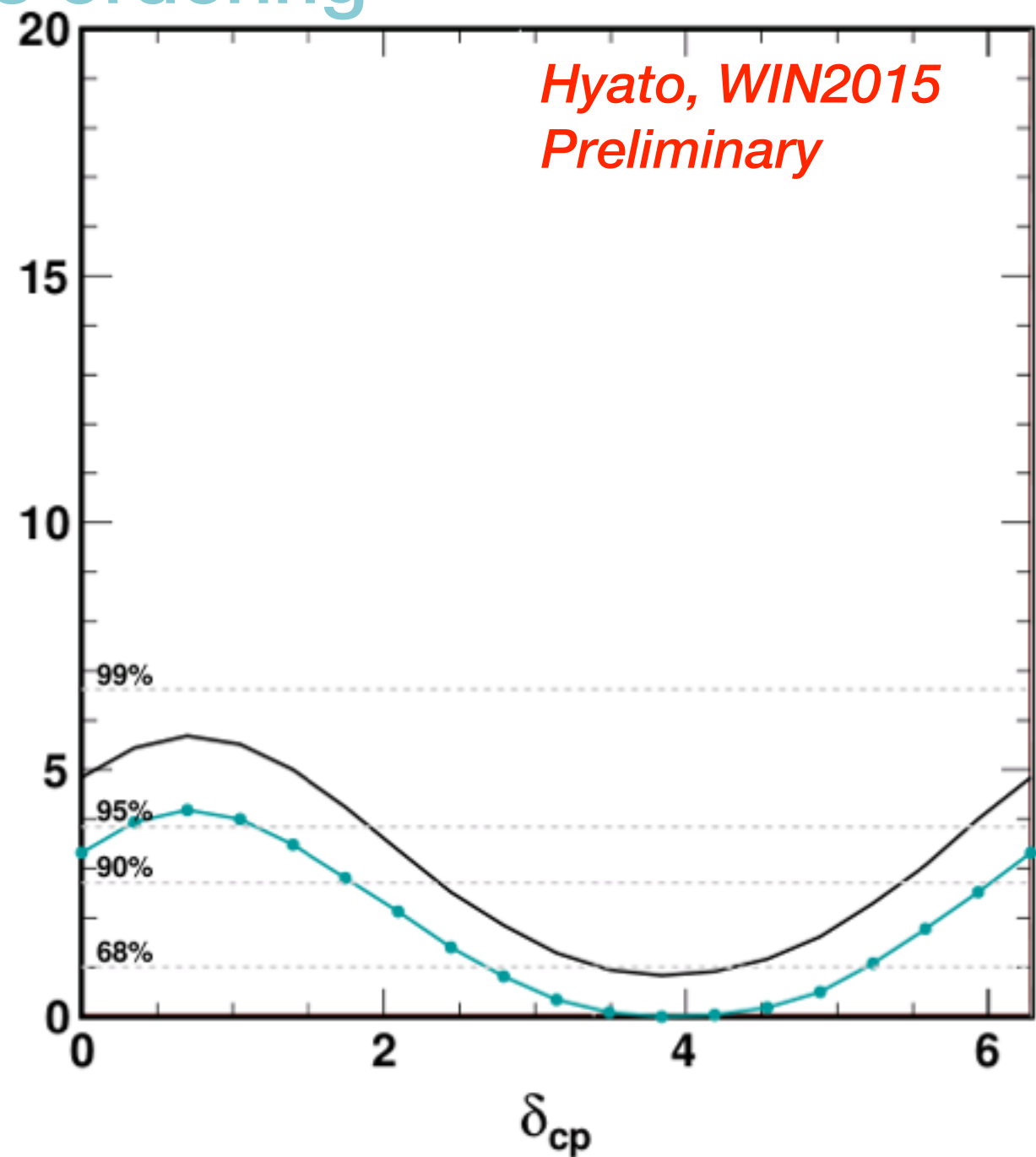
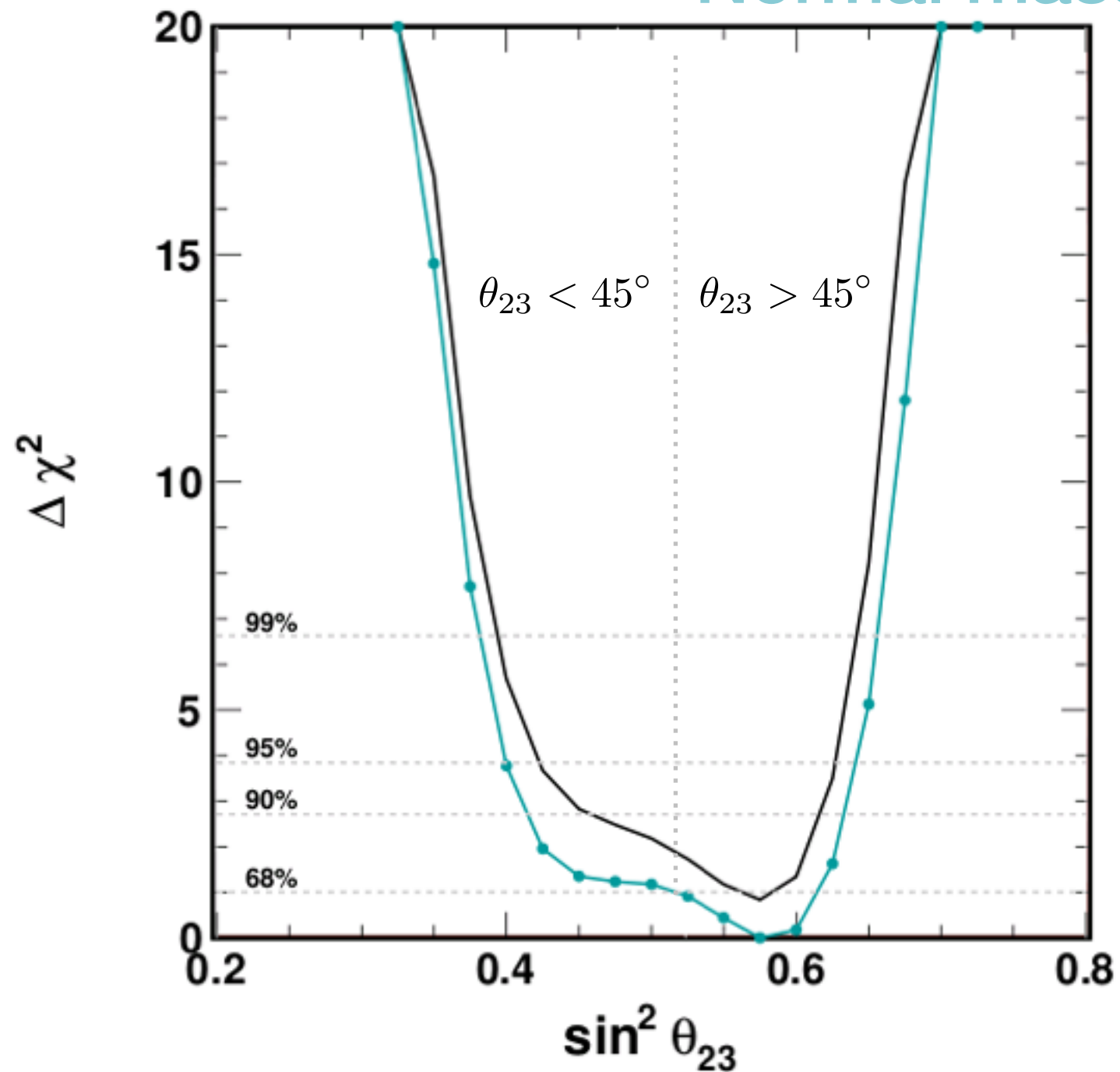
Atmospheric neutrinos

Oscillation probabilities vs. zenith angle and energy

Inverted mass ordering

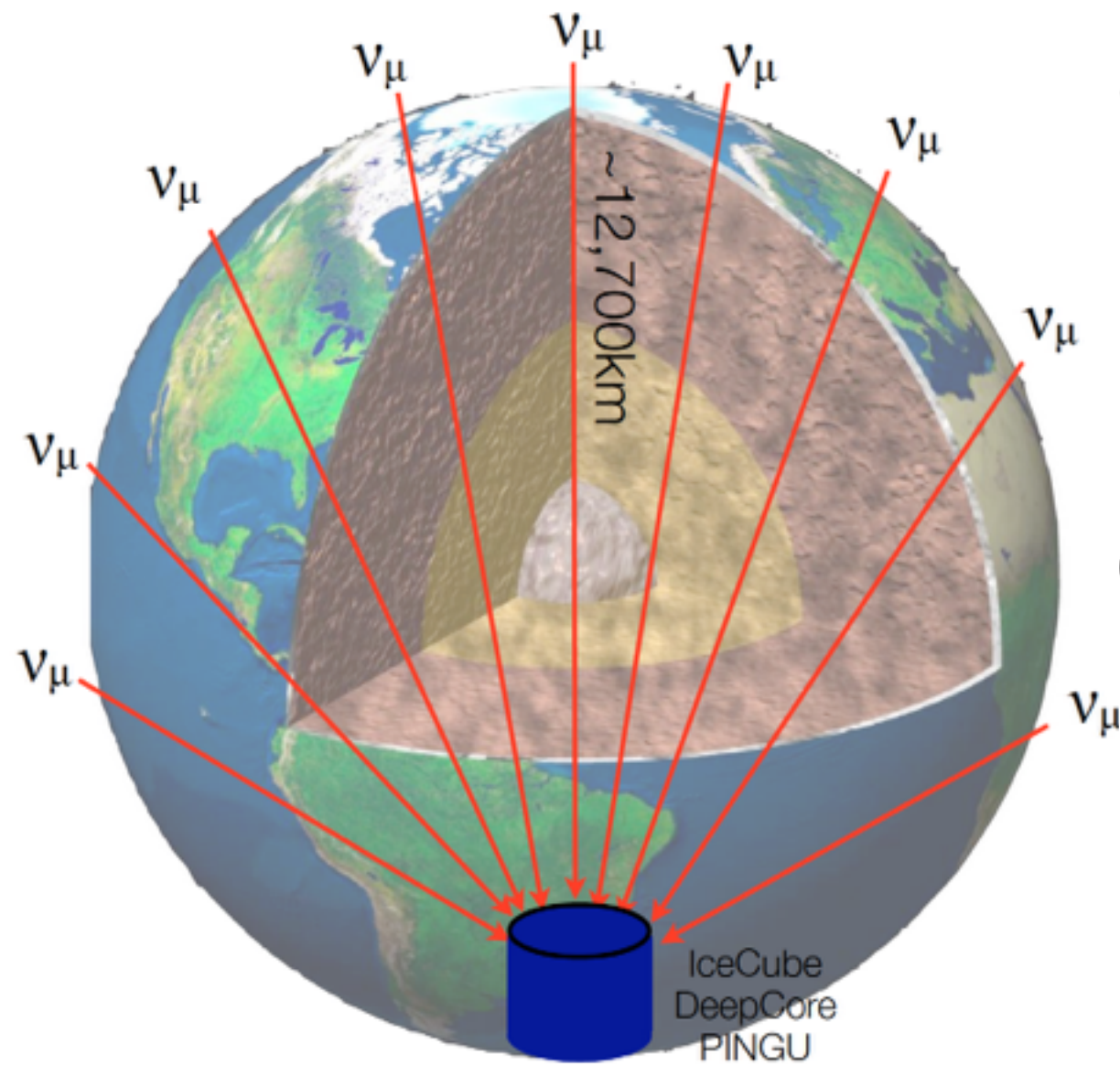
Normal mass ordering

*Hyato, WIN2015
Preliminary*

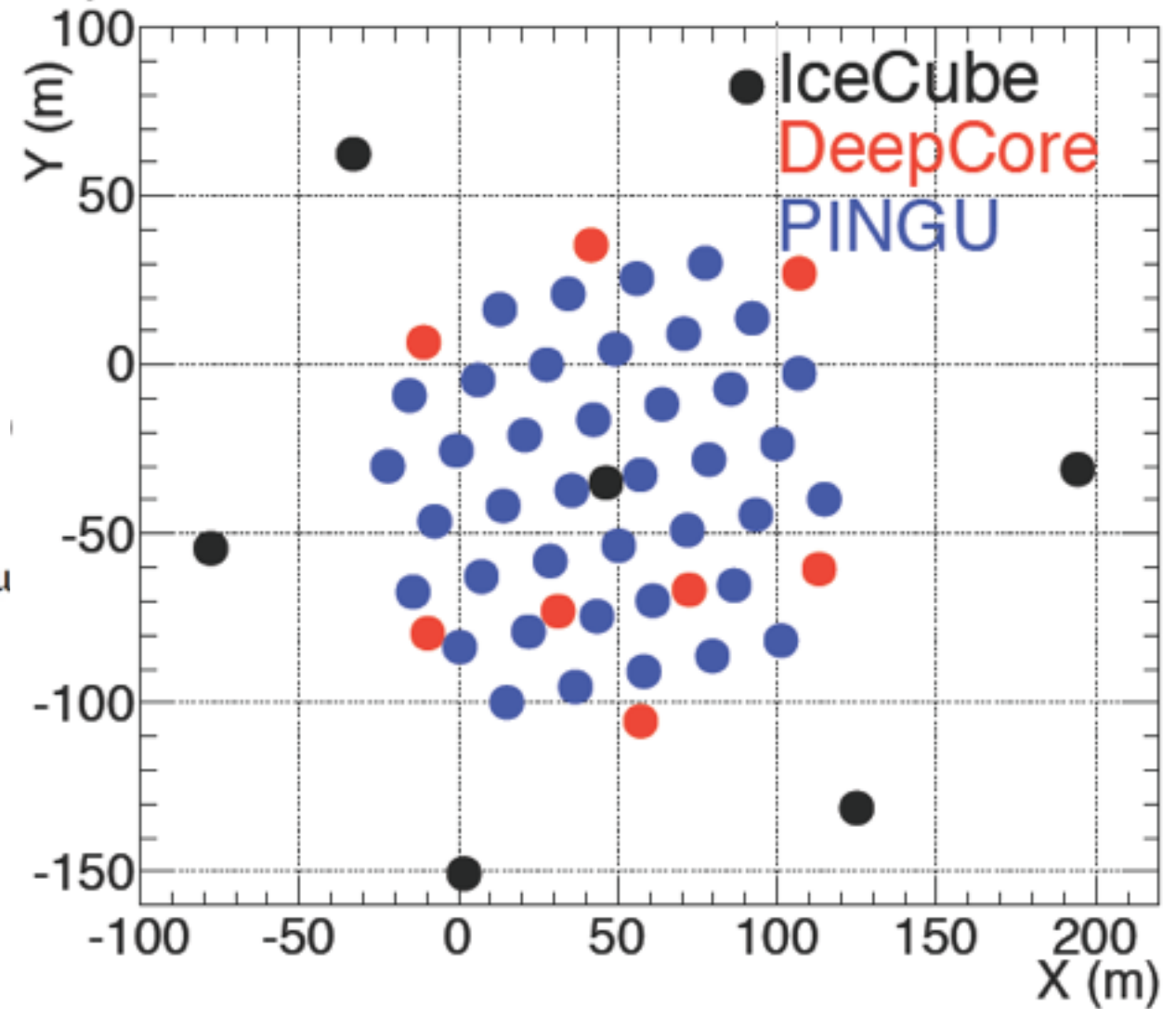


Super-Kamiokande Atmospheric Neutrinos

- ~ 1 sigma preference for
- normal mass ordering
- $\theta_{23} > 45^\circ$
- $\pi < \delta_{CP} < 2\pi$



Top view of the PINGU new candidate detector

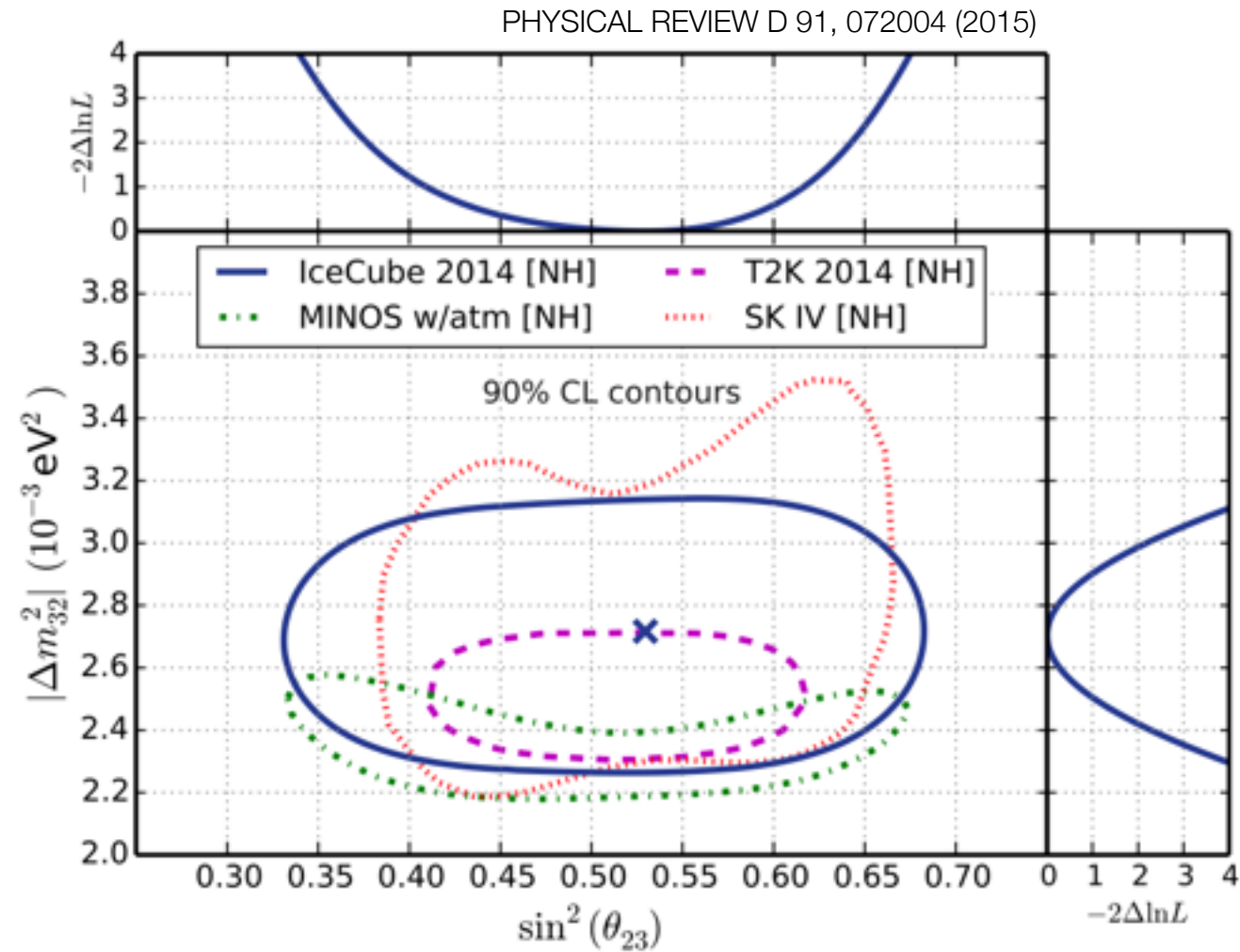
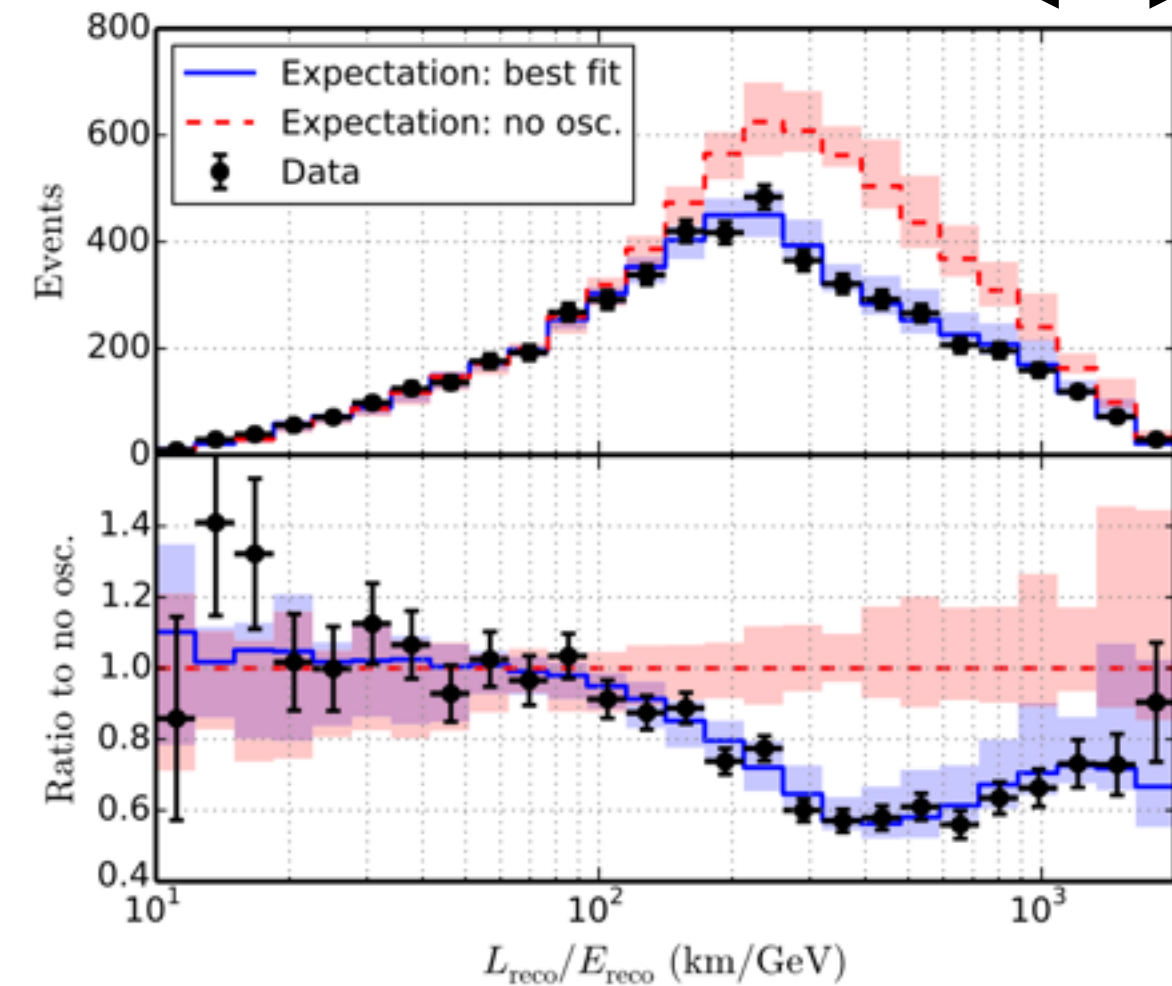
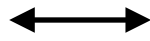


Atmospheric neutrinos in IceCube/Deep Core

IceCube
DeepCore
PINGU (proposed)

D = 125 m
D = 75 m
D = 20 m

Interesting range for
matter effects and mass
ordering sensitivity



Atmospheric neutrinos in IceCube/Deep Core

IceCube
DeepCore
PINGU (proposed)

D = 125 m
D = 75 m
D = 20 m

NuMI / MINOS

$L = 735 \text{ km}$

$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2(1.27 \Delta m^2 L / E)$$

$E_\nu = 2 - 5 \text{ GeV}$



Google

Eye alt 545.86 k

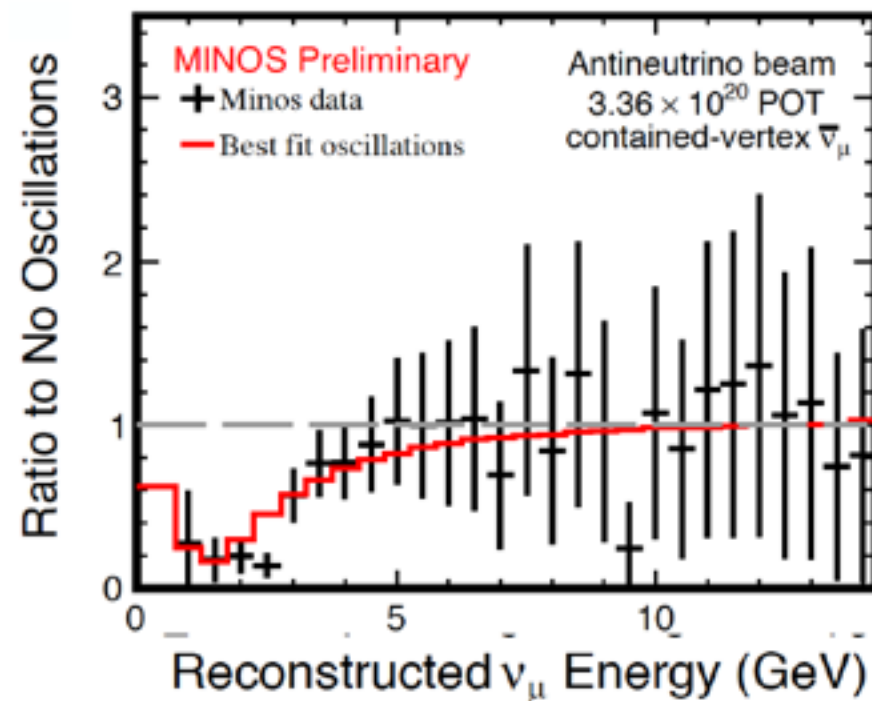
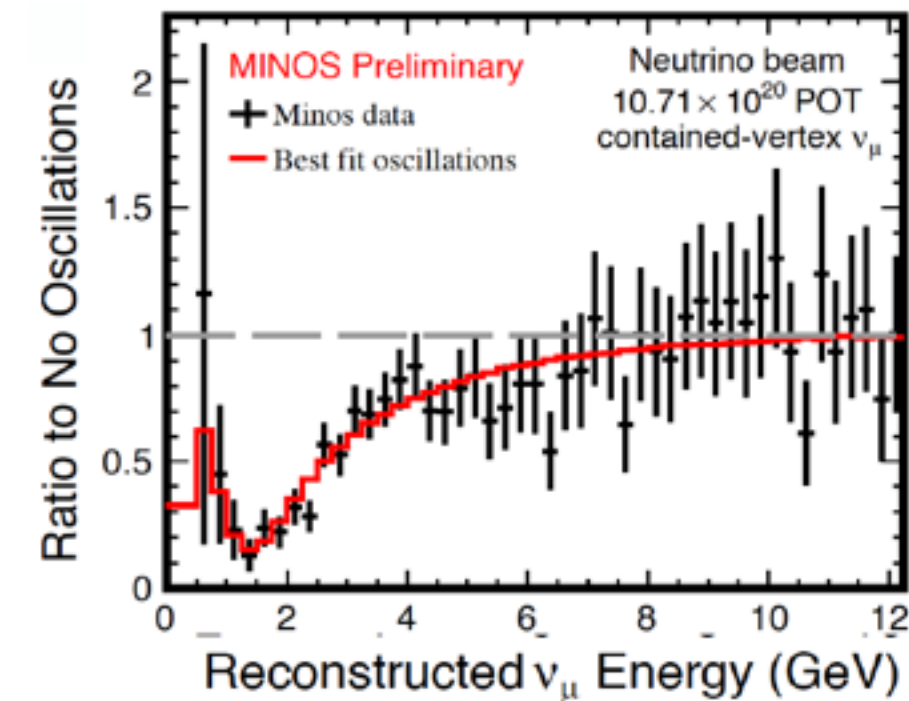
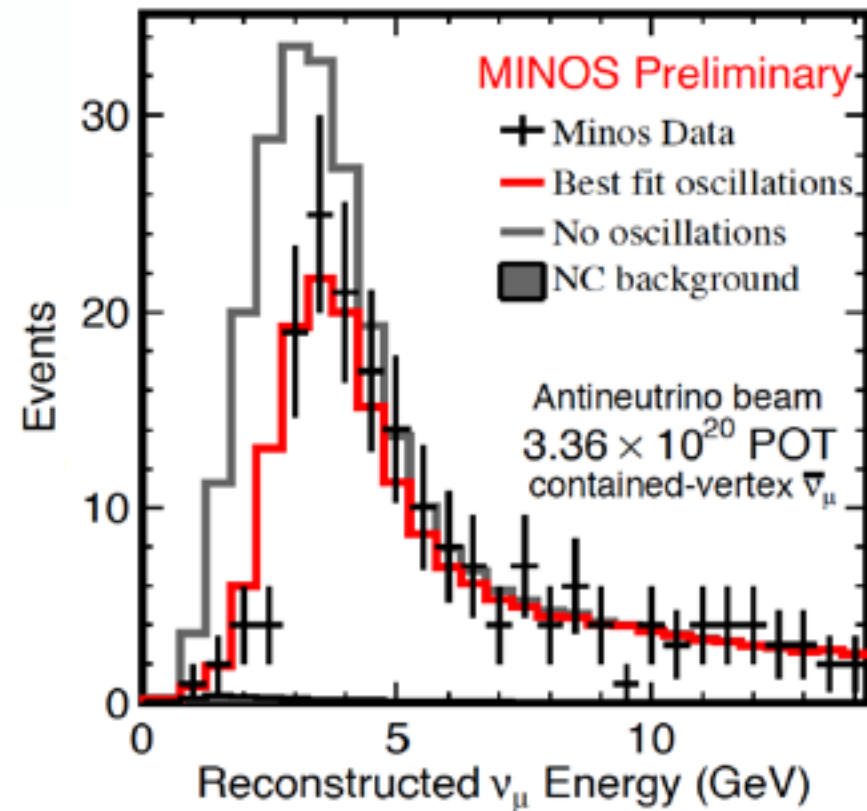
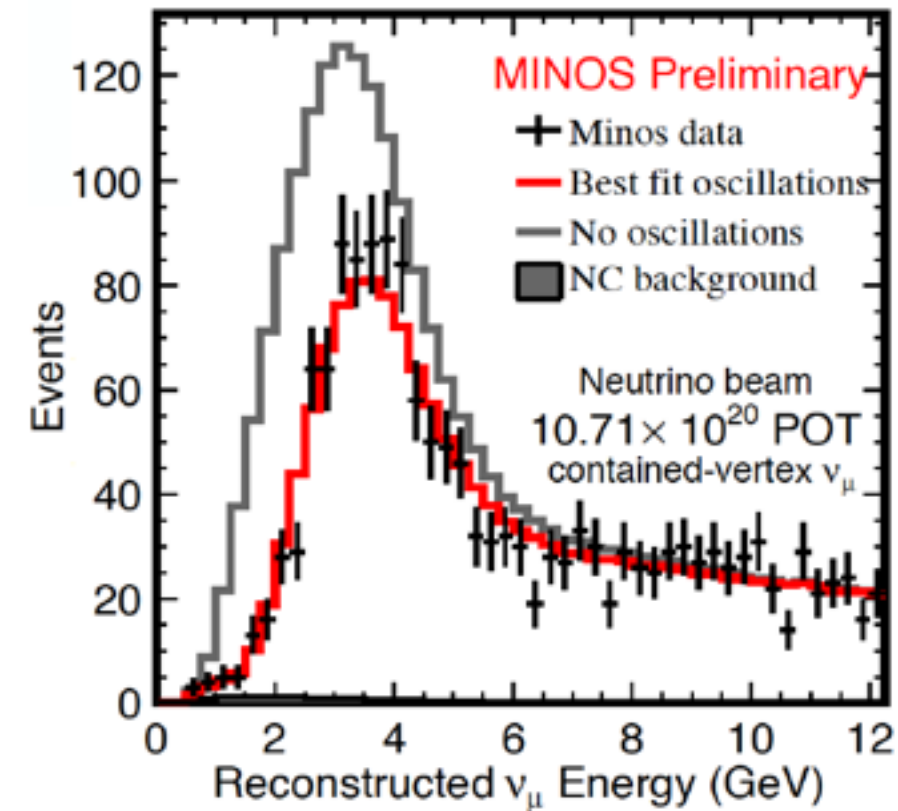
MINOS

$$\nu_\mu \rightarrow \nu_\mu \text{ and } \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$$

$$\nu_\mu \rightarrow \nu_e \text{ and } \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

Neutrinos

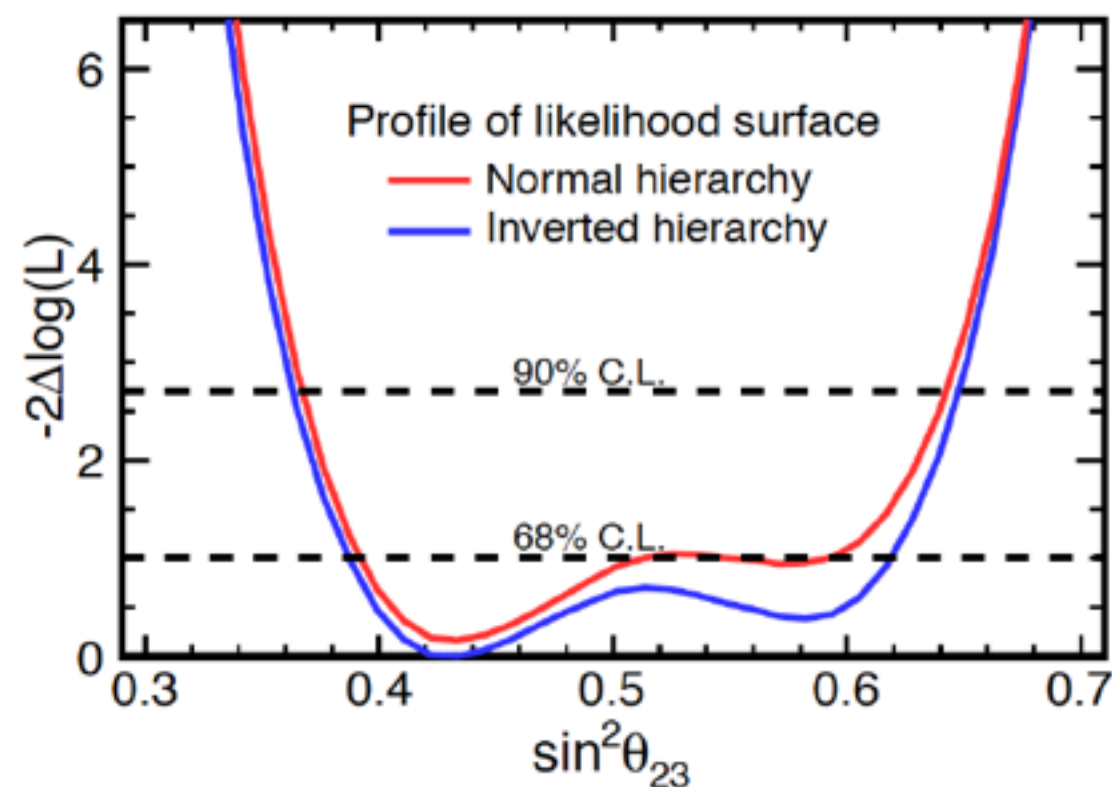
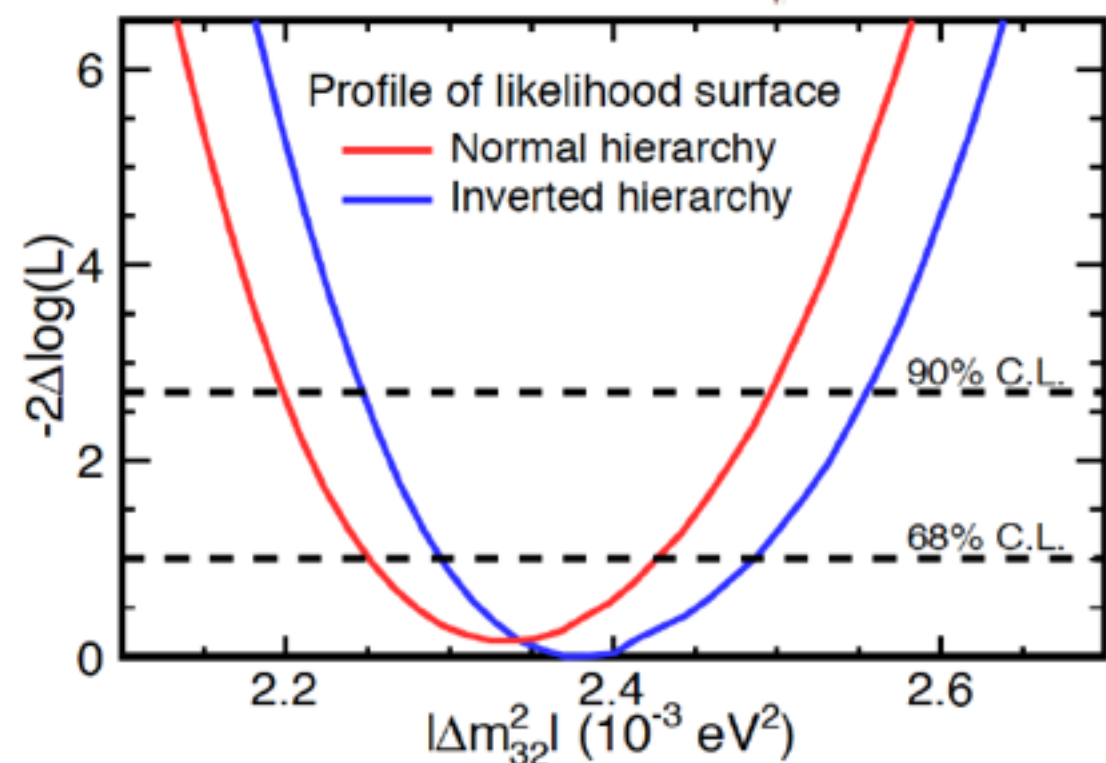
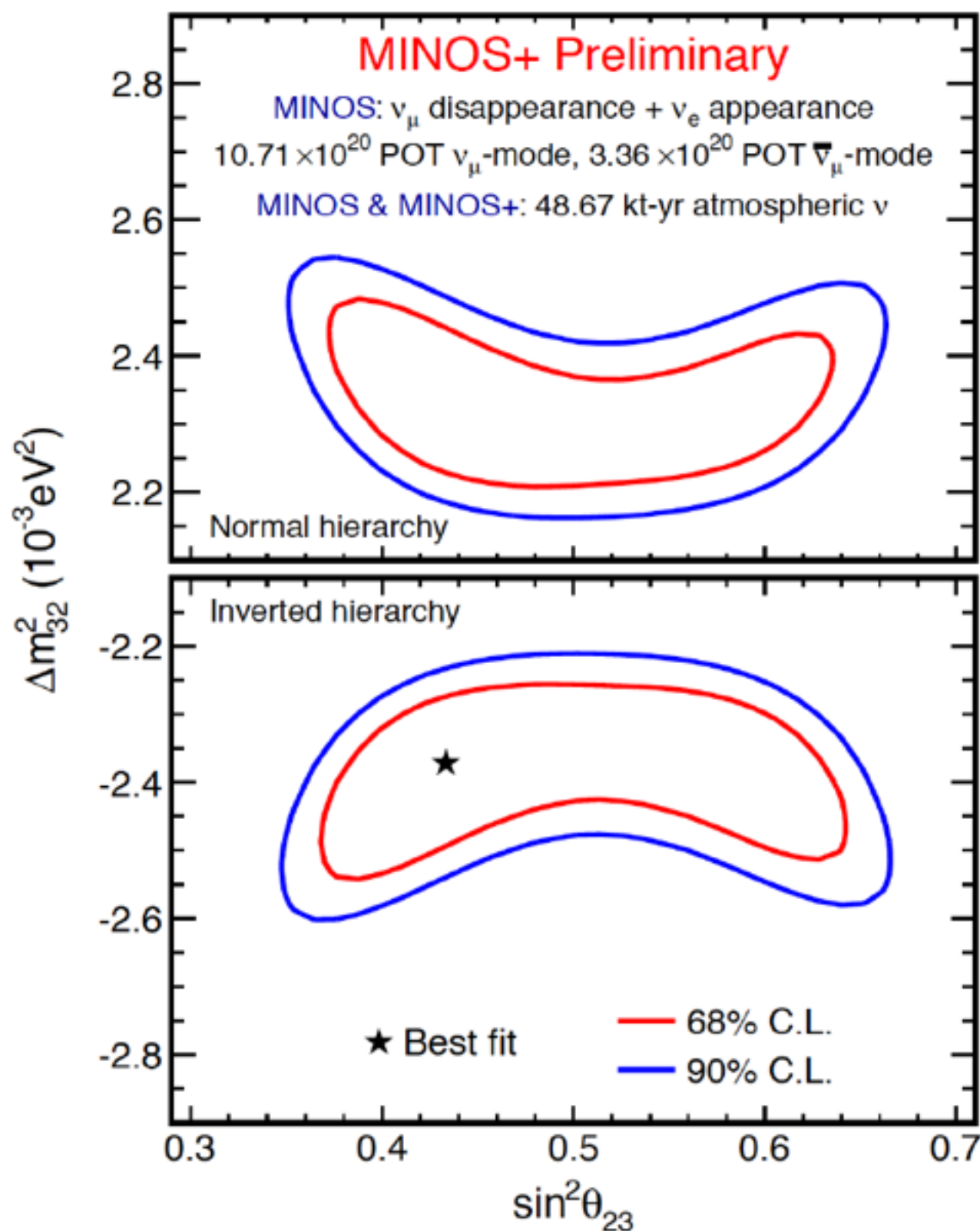
Antineutrinos



backgrounds	69.1	10.5
“nominal” signal at θ	+26.0	+3.1
total:	95.1	13.6
Observed	88	12

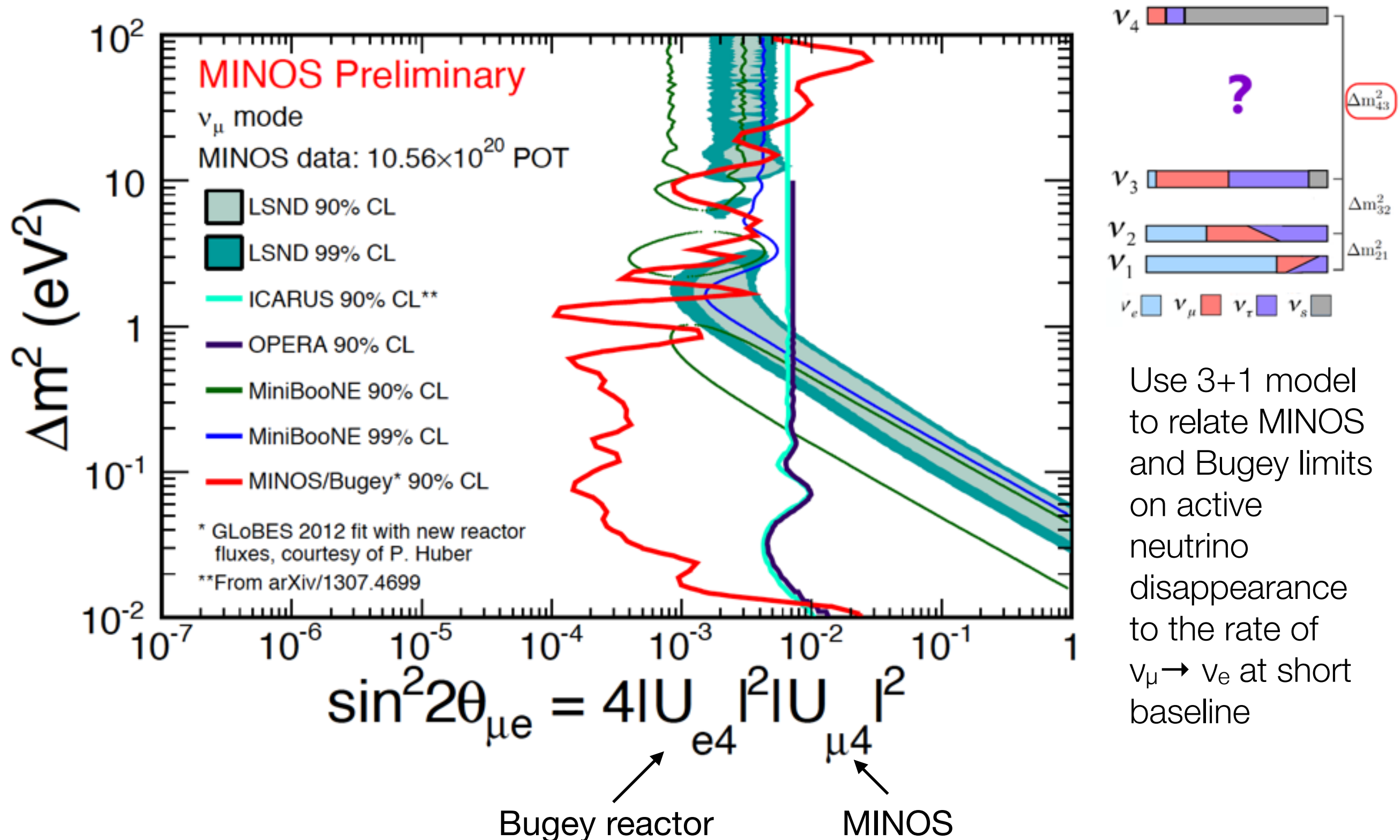
MINOS⁽⁺⁾

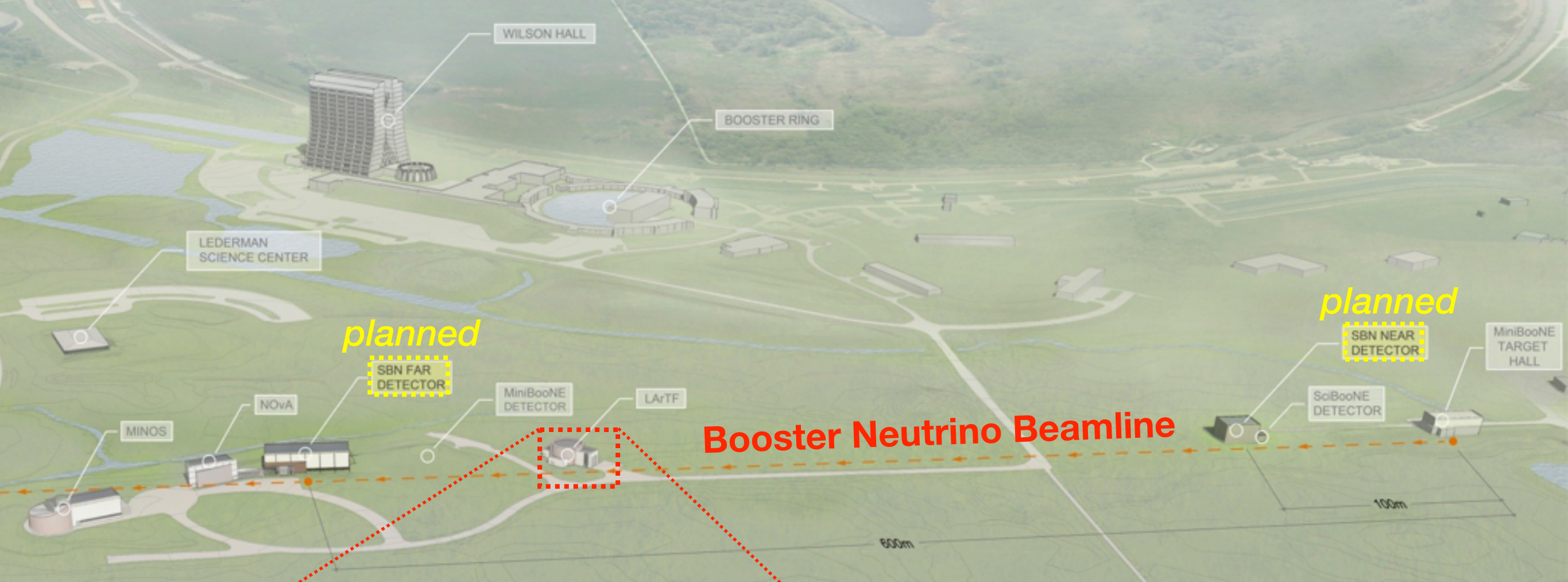
Combined fit to beam and atmospheric neutrinos



MINOS

Fit to sterile neutrino oscillations in 3+1 model



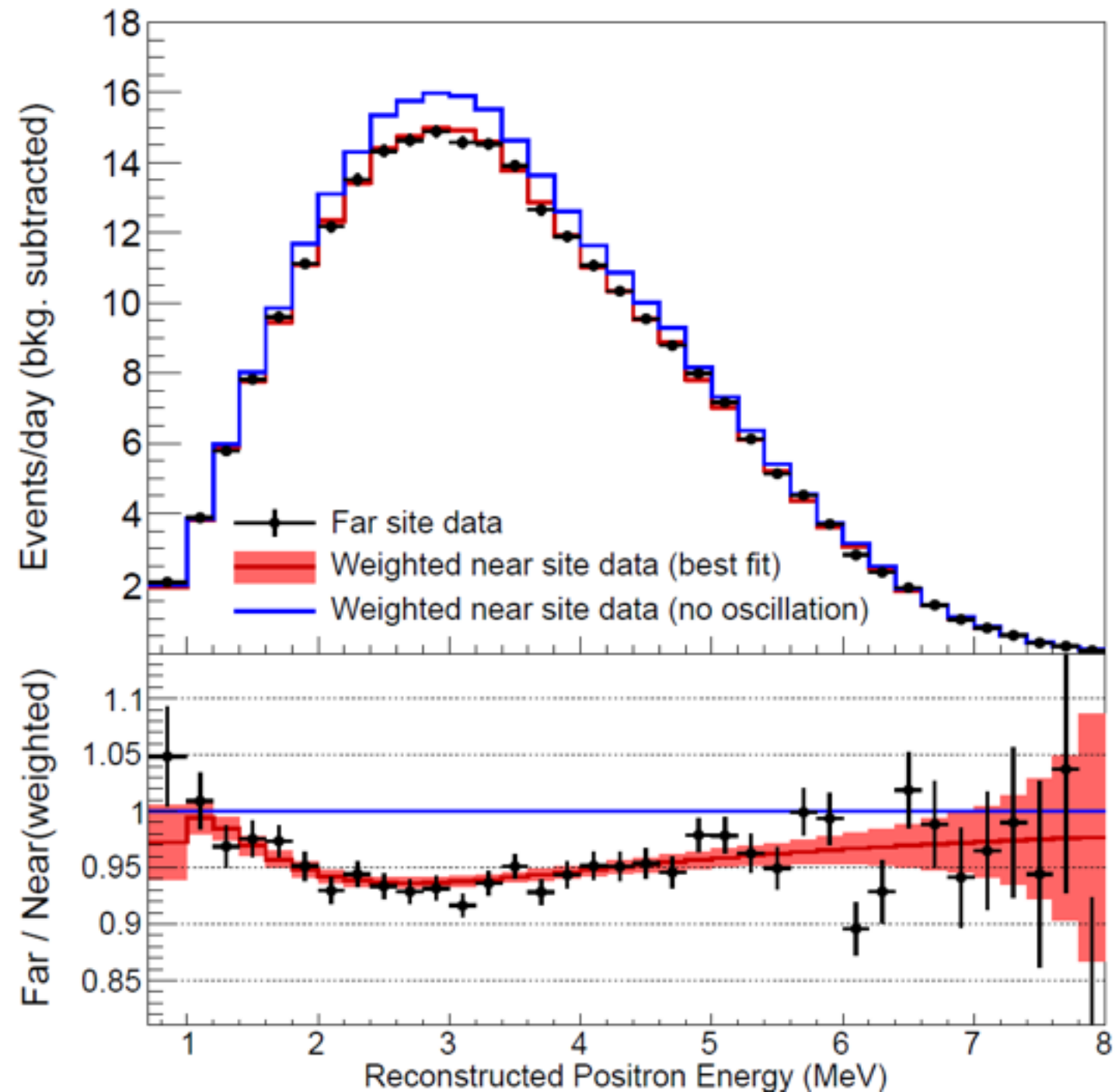
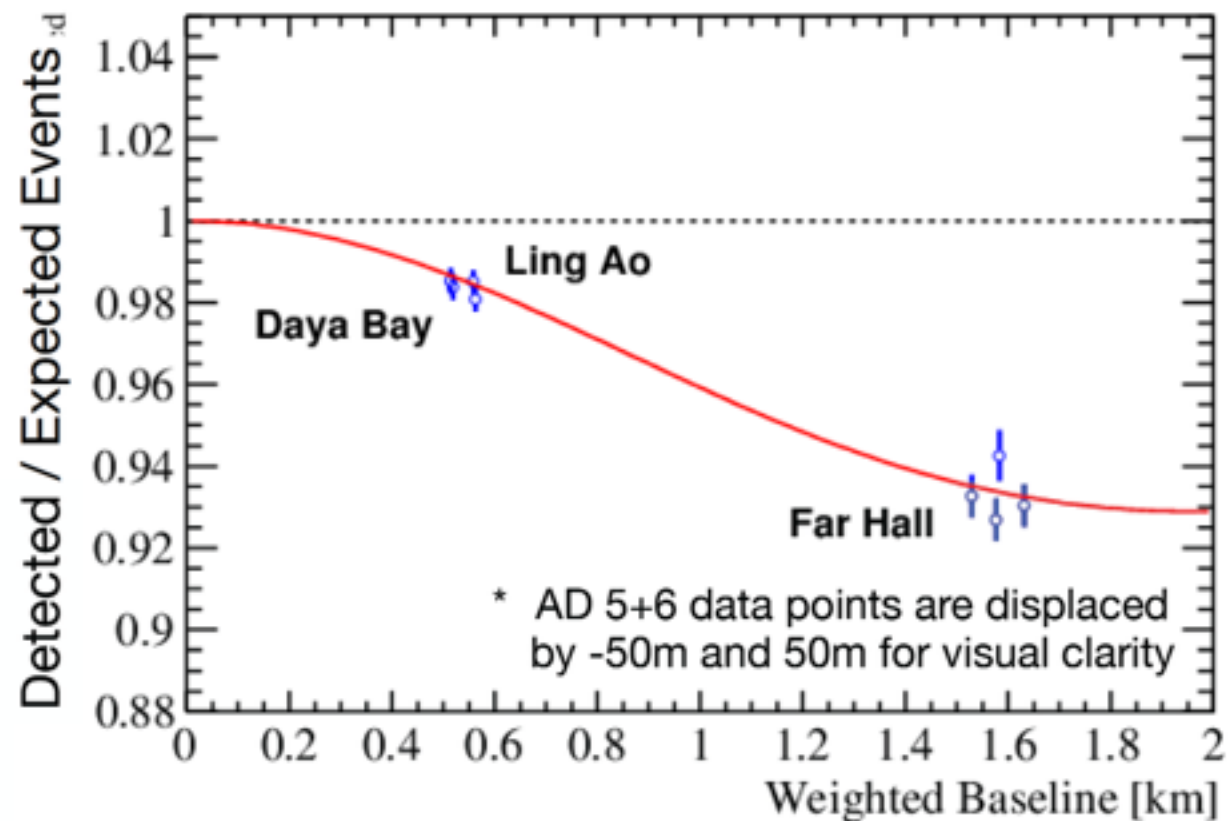


MicroBooNE installed,
cooling down
Starts data taking this year

Short baseline neutrino physics on the Fermilab Booster Neutrino Beam

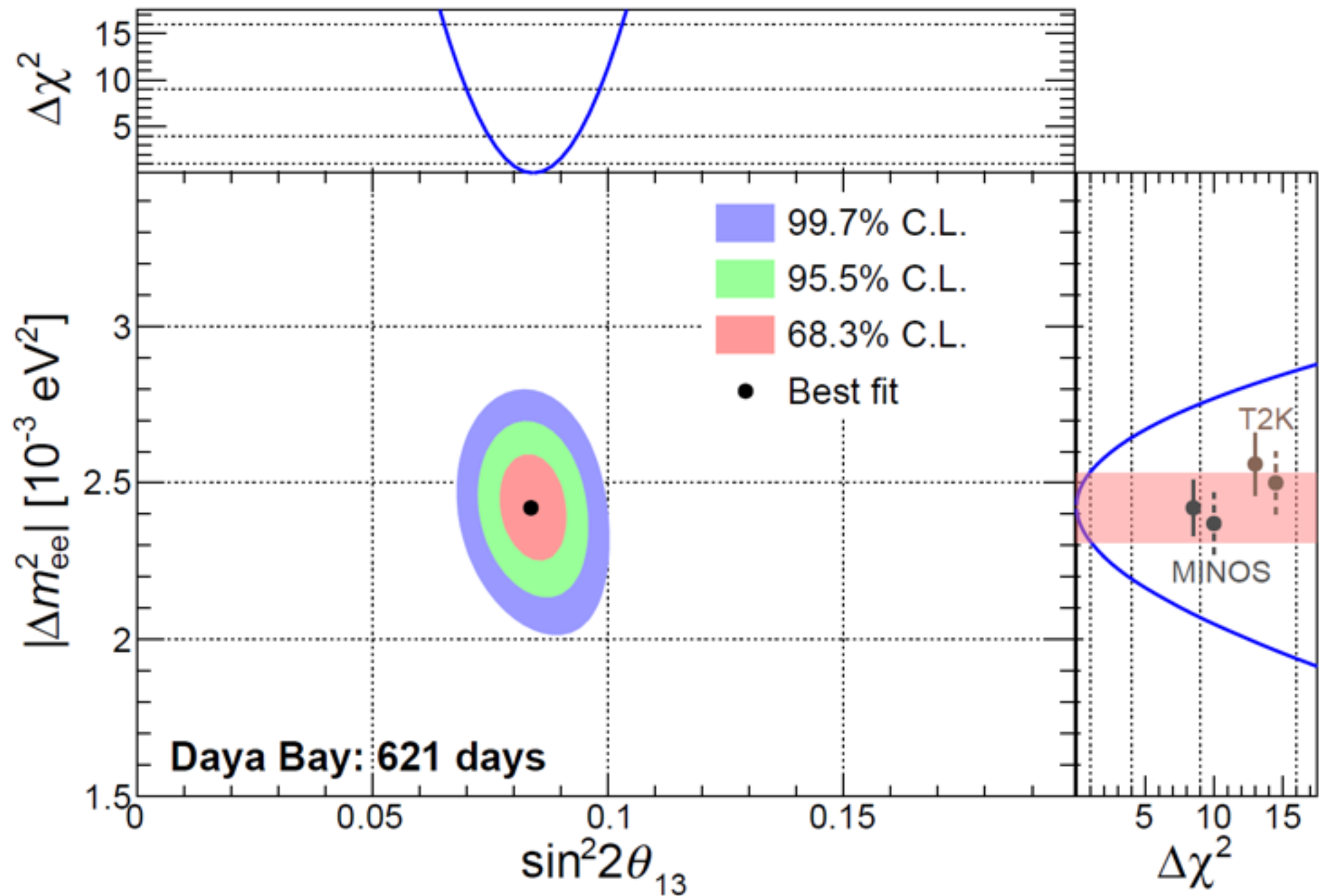
Searching for sterile neutrinos
while advancing liquid argon
TPCs

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2(2\theta_{13}) \sin^2(\Delta m_{\text{atm}}^2 \frac{L}{4E}) - \sin^2(2\theta_{12}) \cos^4(\theta_{13}) \sin^2(\Delta m_{\text{sol}}^2 \frac{L}{4E})$$



Daya Bay Reactor Antineutrino experiment

Disappearance of electron antineutrinos provides clean measurement of θ_{13}

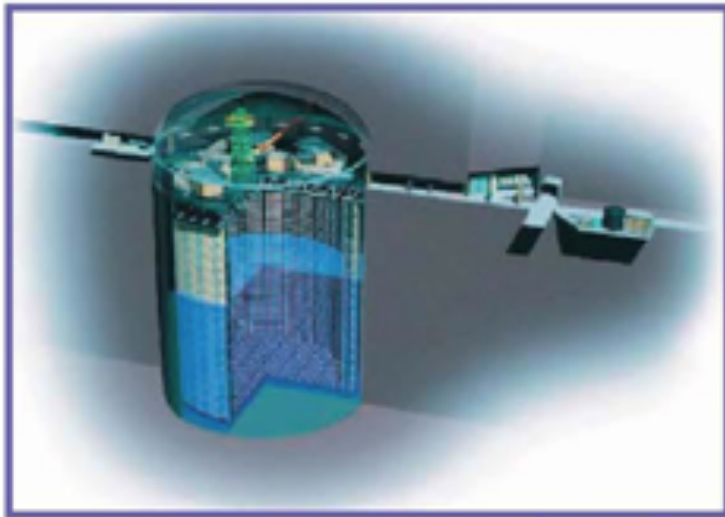


Double Chooz	0.090 ± 0.030
RENO	0.088 ± 0.011
Daya Bay	0.084 ± 0.005
<hr/>	
Combined	0.0848 ± 0.0045

Daya Bay Reactor Antineutrino experiment

θ_{13} is now best know mixing angle

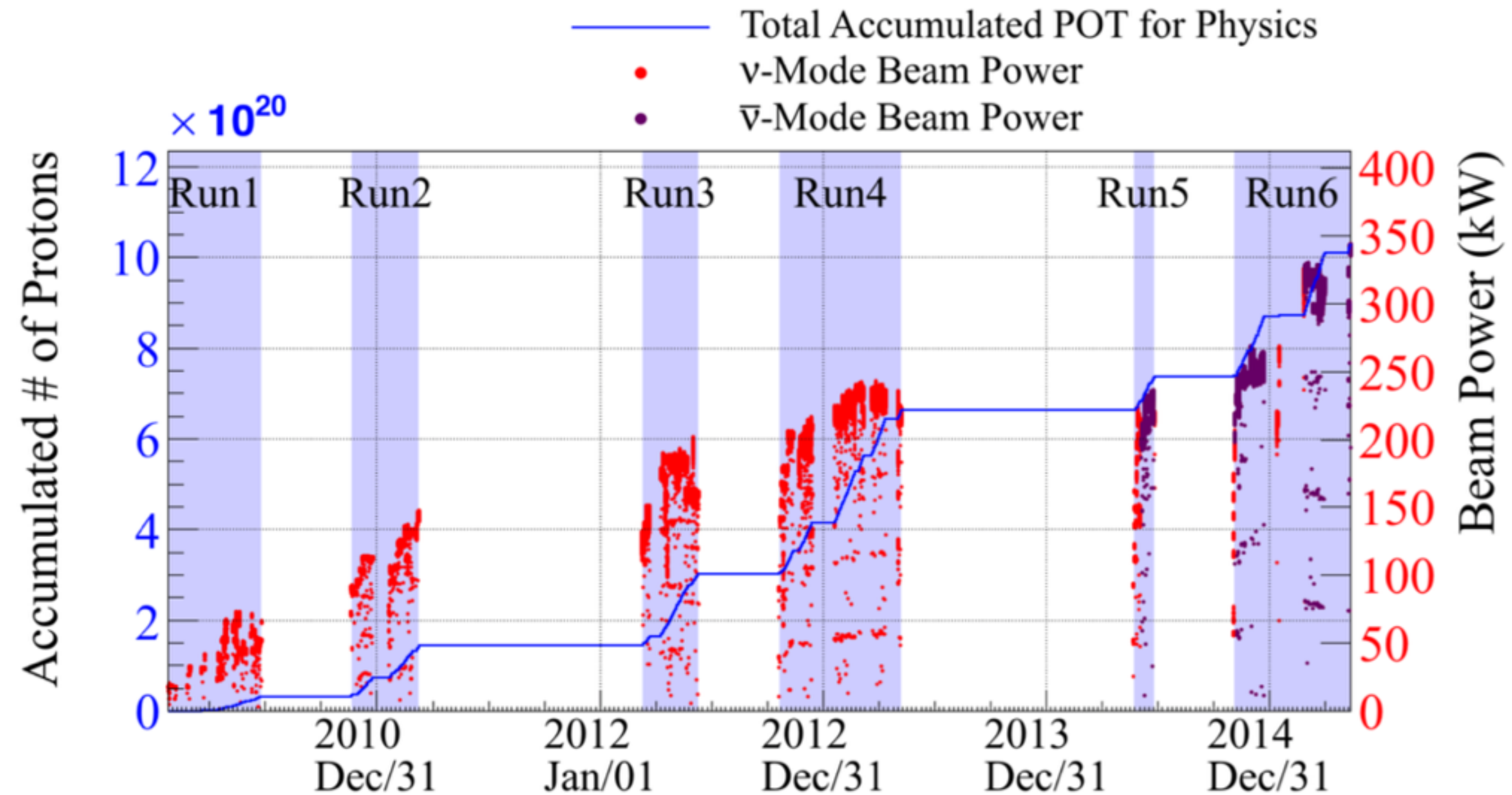
T2K Experiment



Super-Kamiokande
(ICRR, Univ. Tokyo)



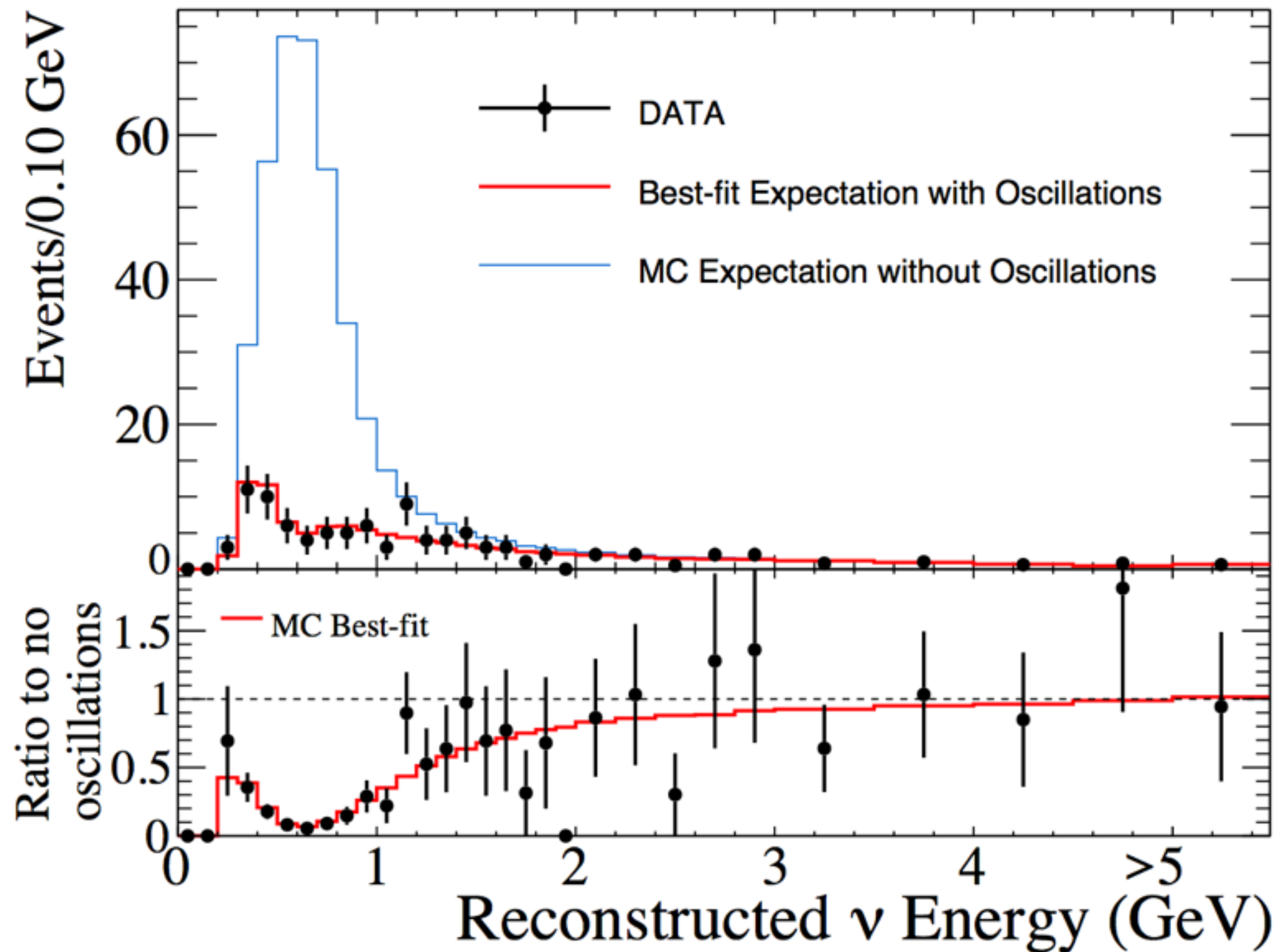
T2K Beam delivery



neutrinos: 6.9×10^{20} POT
anti-neutrinos: 4.0×10^{20} POT
Total: 10.9×10^{20} POT

T2K

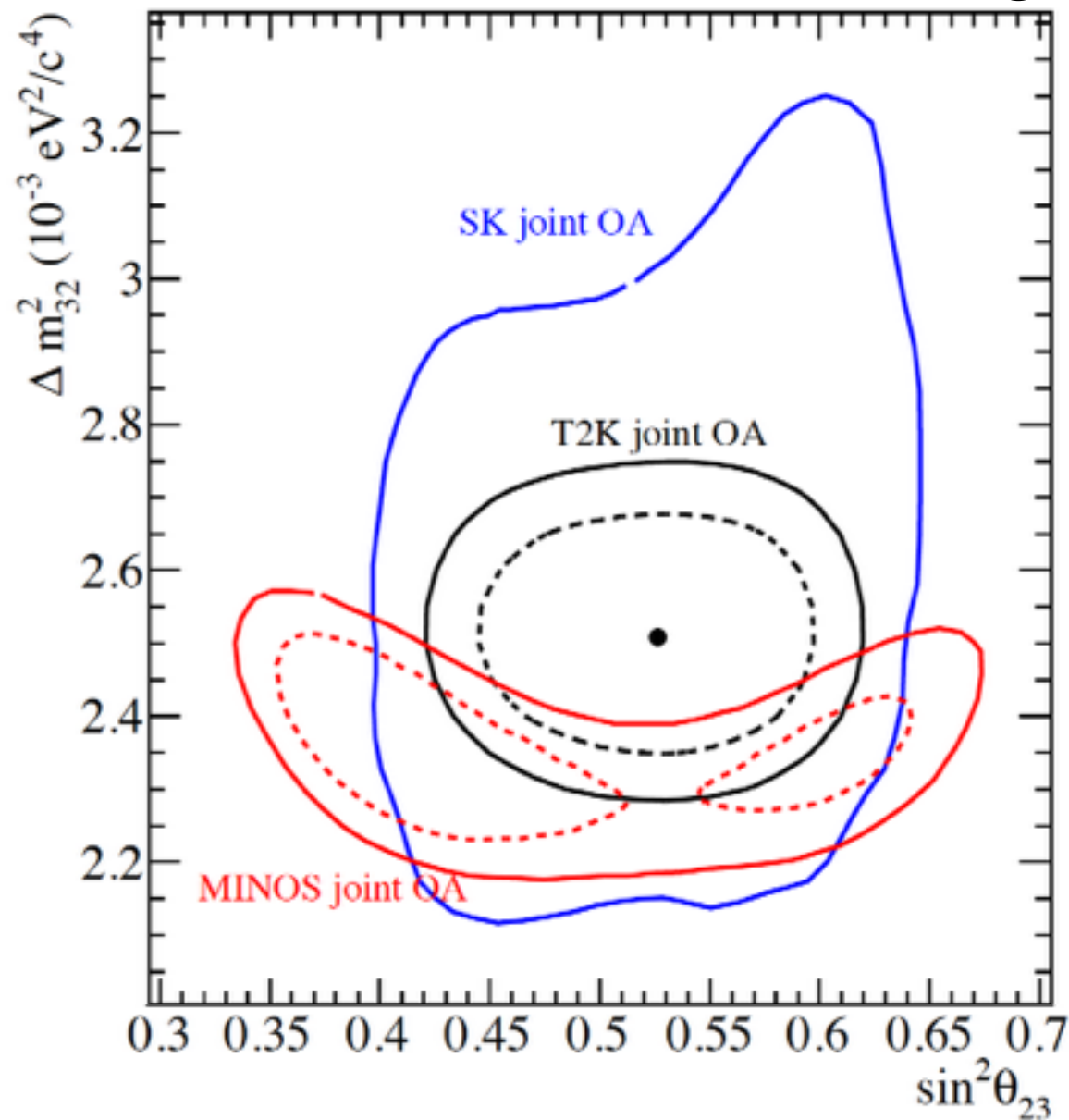
ν_μ charged-current spectra



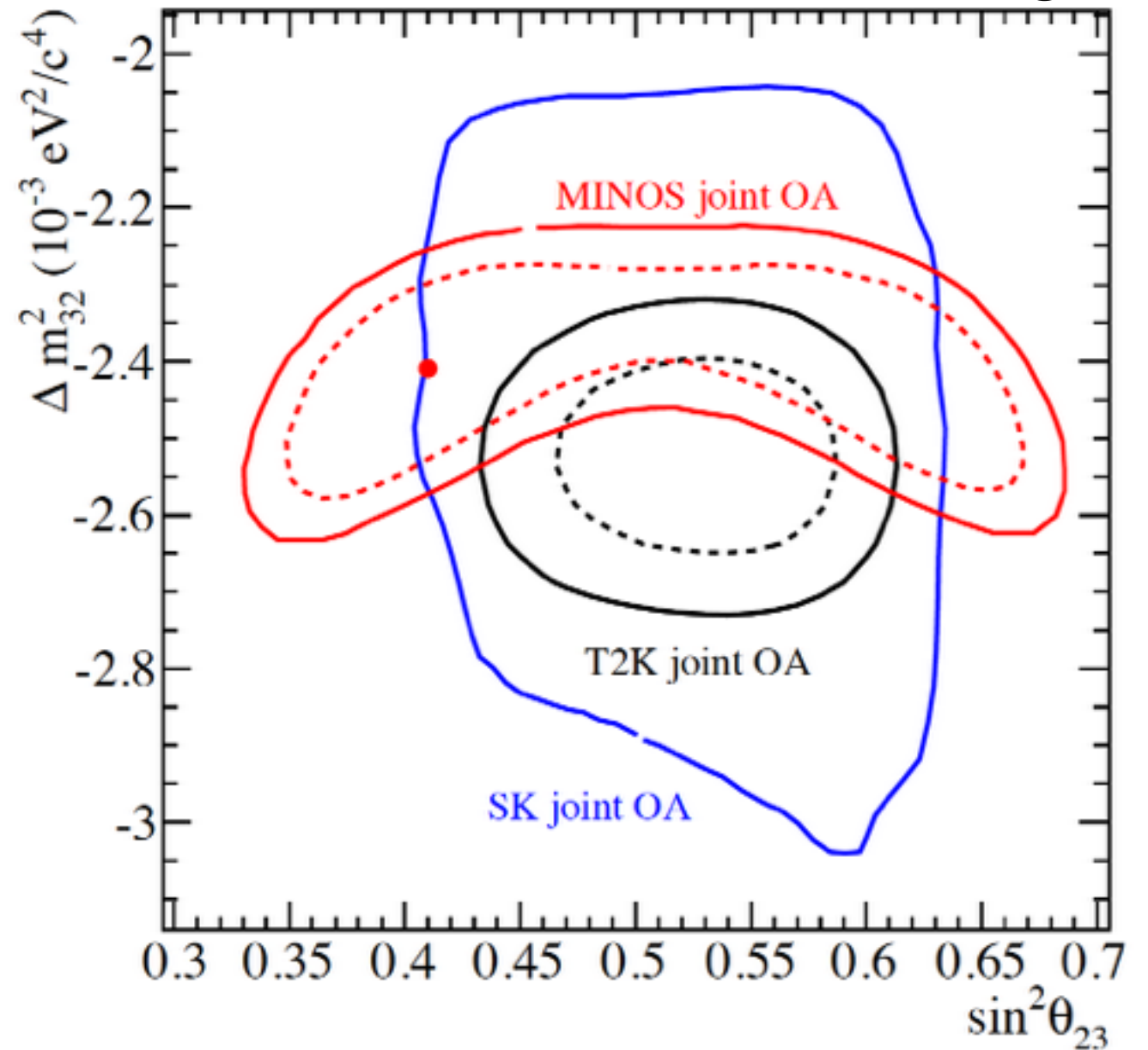
T2K

$\sin^2\theta_{23}$ result

Normal Mass Ordering



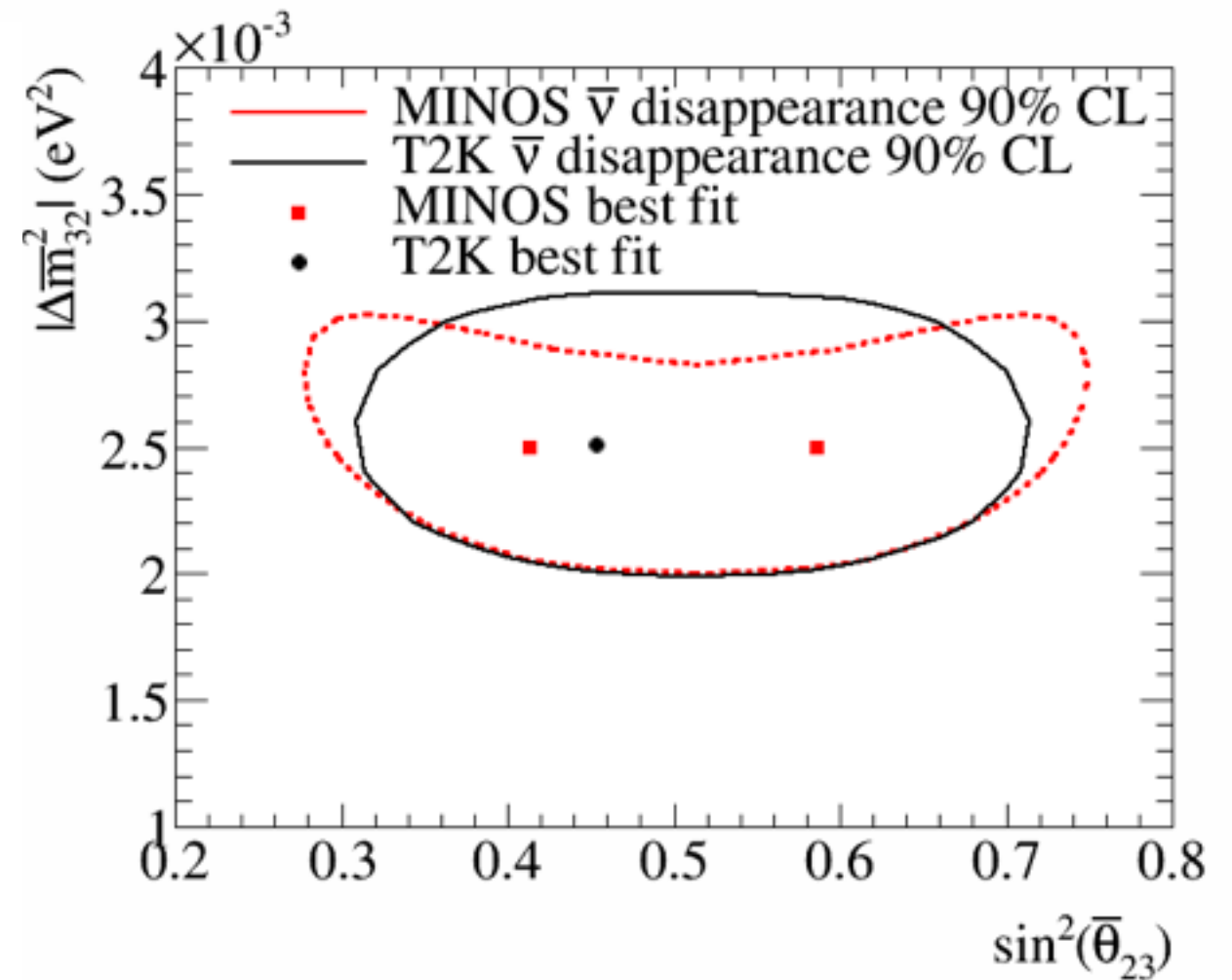
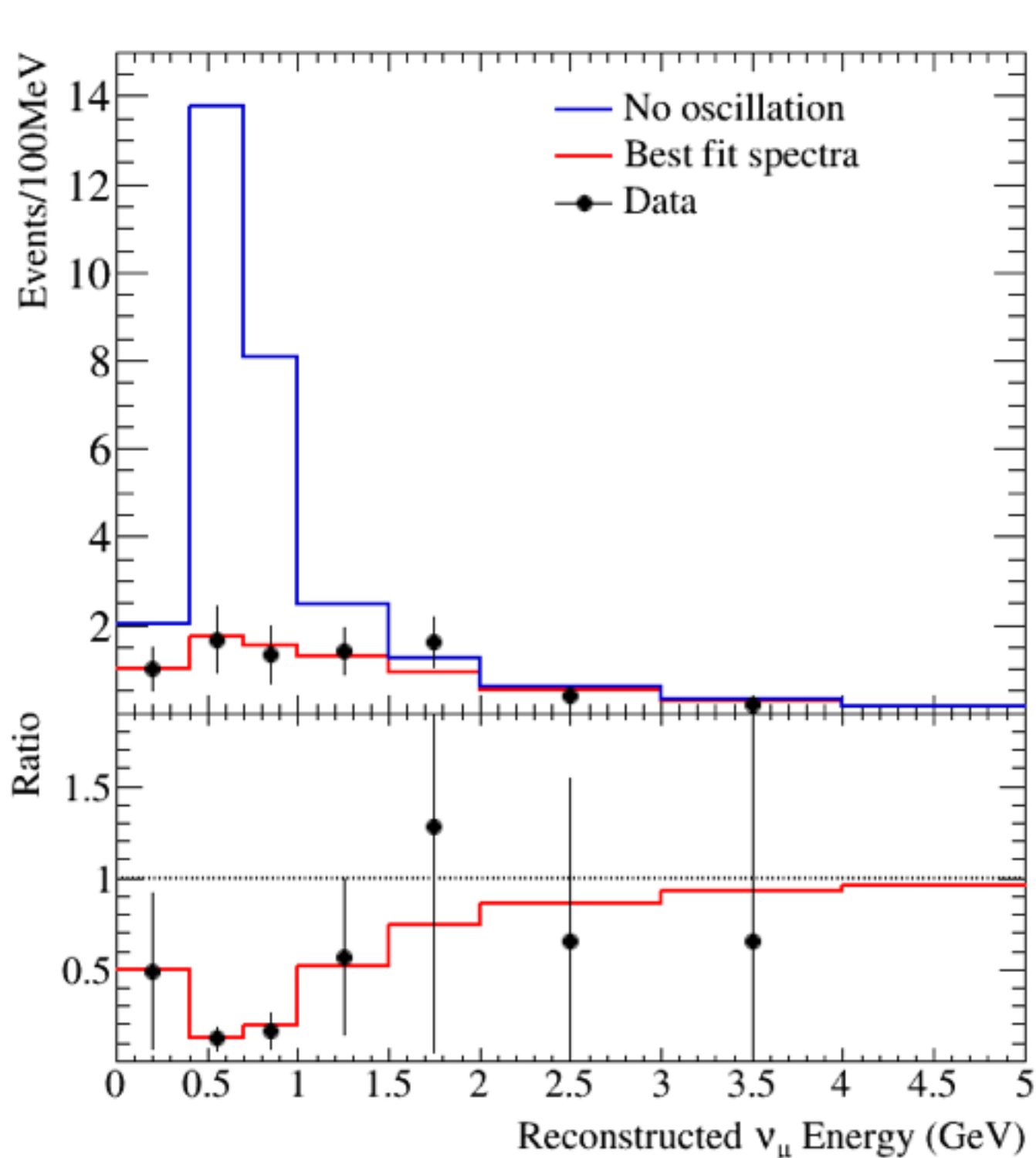
Inverted Mass Ordering



Normal hierarchy: $\sin^2\theta_{23} = 0.514^{+0.055}_{-0.056}$

Inverted hierarchy: $\sin^2\theta_{23} = 0.511 \pm 0.055$

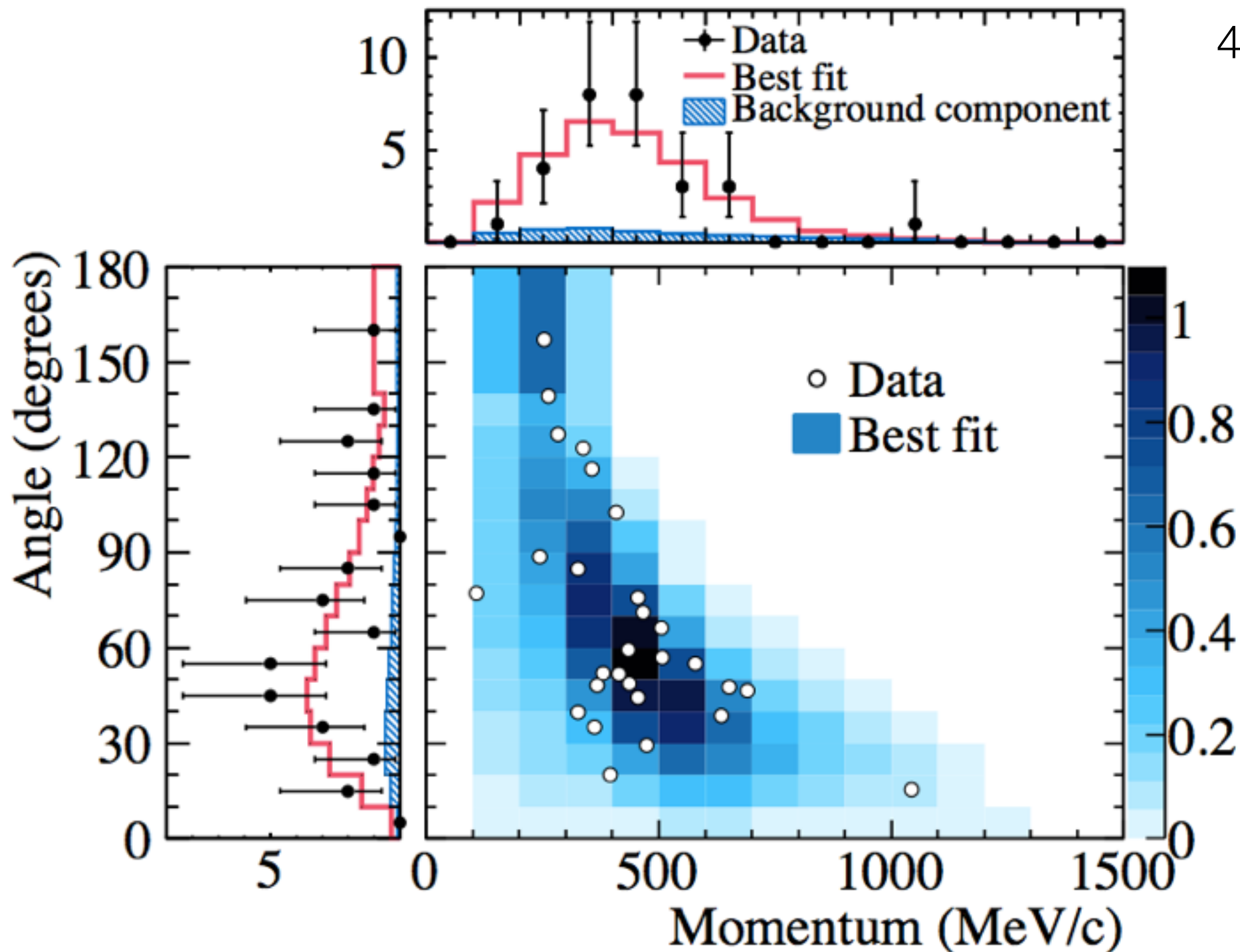
T2K Antineutrino Results



Also sees 3 e-like events
on background of 1.8 in
antineutrino running

T2K

Electron neutrino signal events



4.92 ± 0.55 background
28 events observed
 7.3σ observation

21.6 events expected
 $\sin^2 2\theta_{13} = 0.1$
 $\delta_{CP} = 0$
 $\sin^2 \theta_{23} = 0.5$

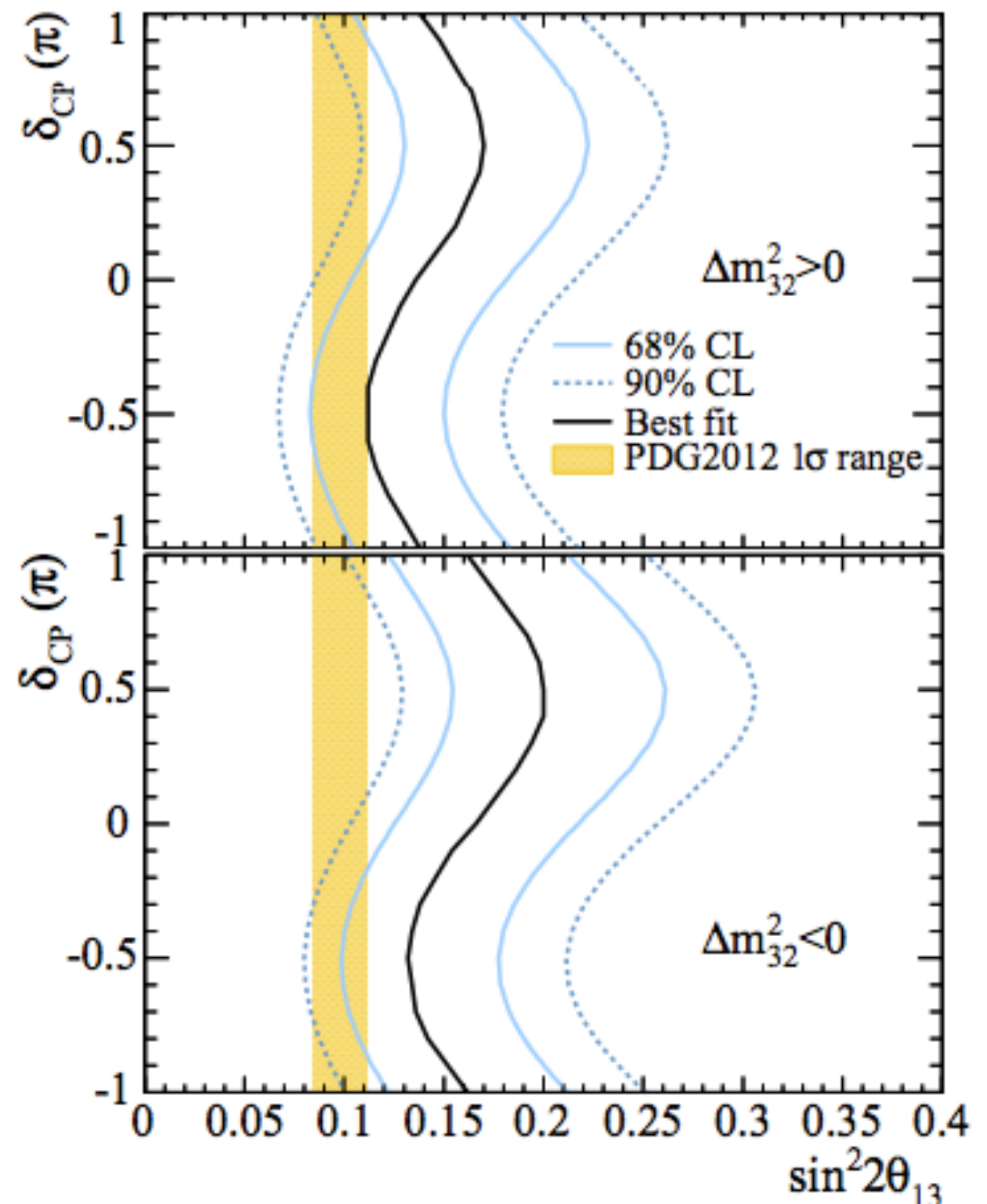
Comparing T2K results with reactors

T2K $\sin^2 2\theta_{13}$ result computed assuming $\sin^2 \theta_{23}=0.5$, $\delta_{CP}=0$, and normal hierarchy (top), and inverted hierarchy (bottom)

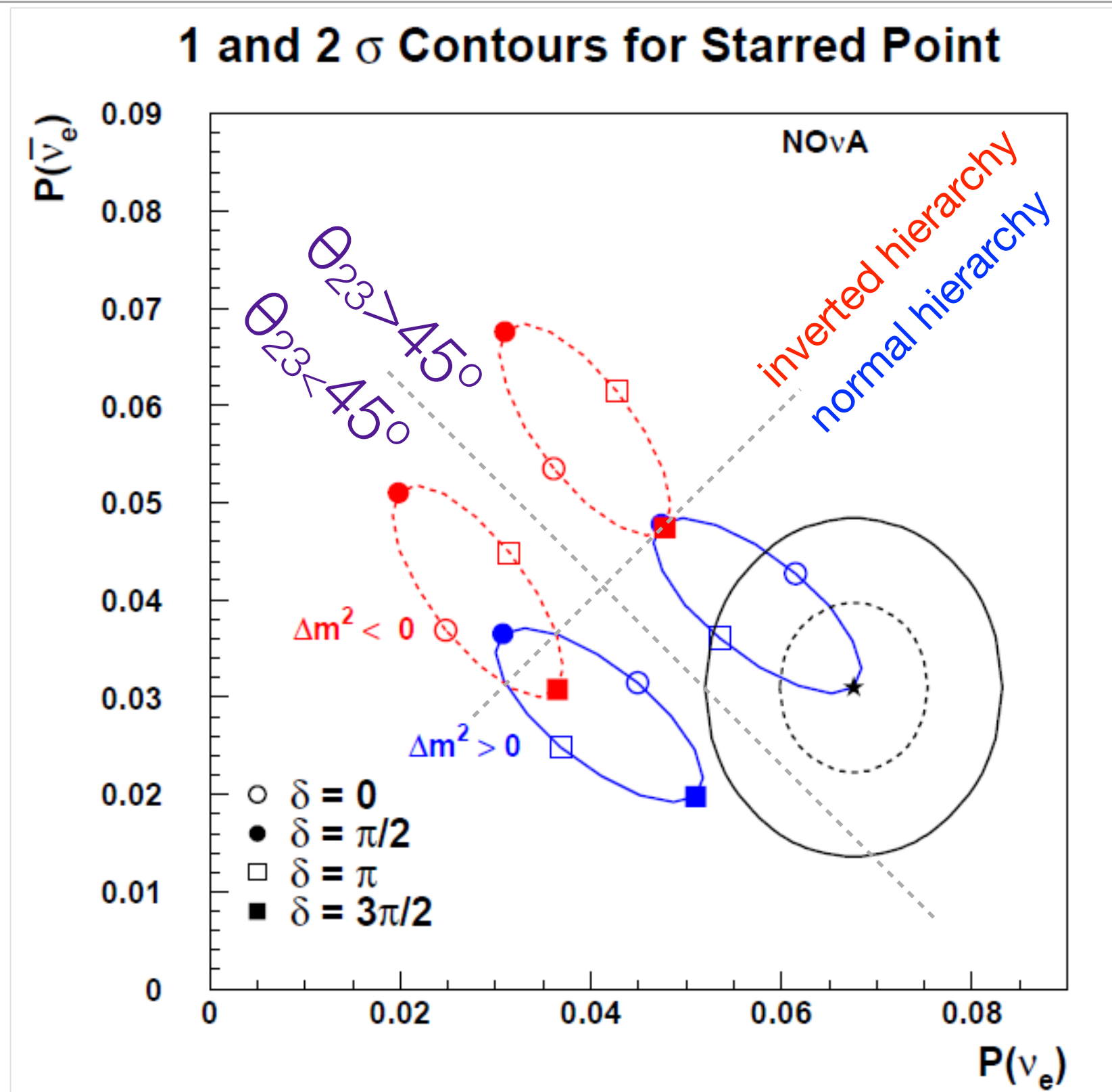
Consistent at 90% CL (1.6σ)

...but excess seen by T2K nudges all remaining unknowns in direction to increase rates

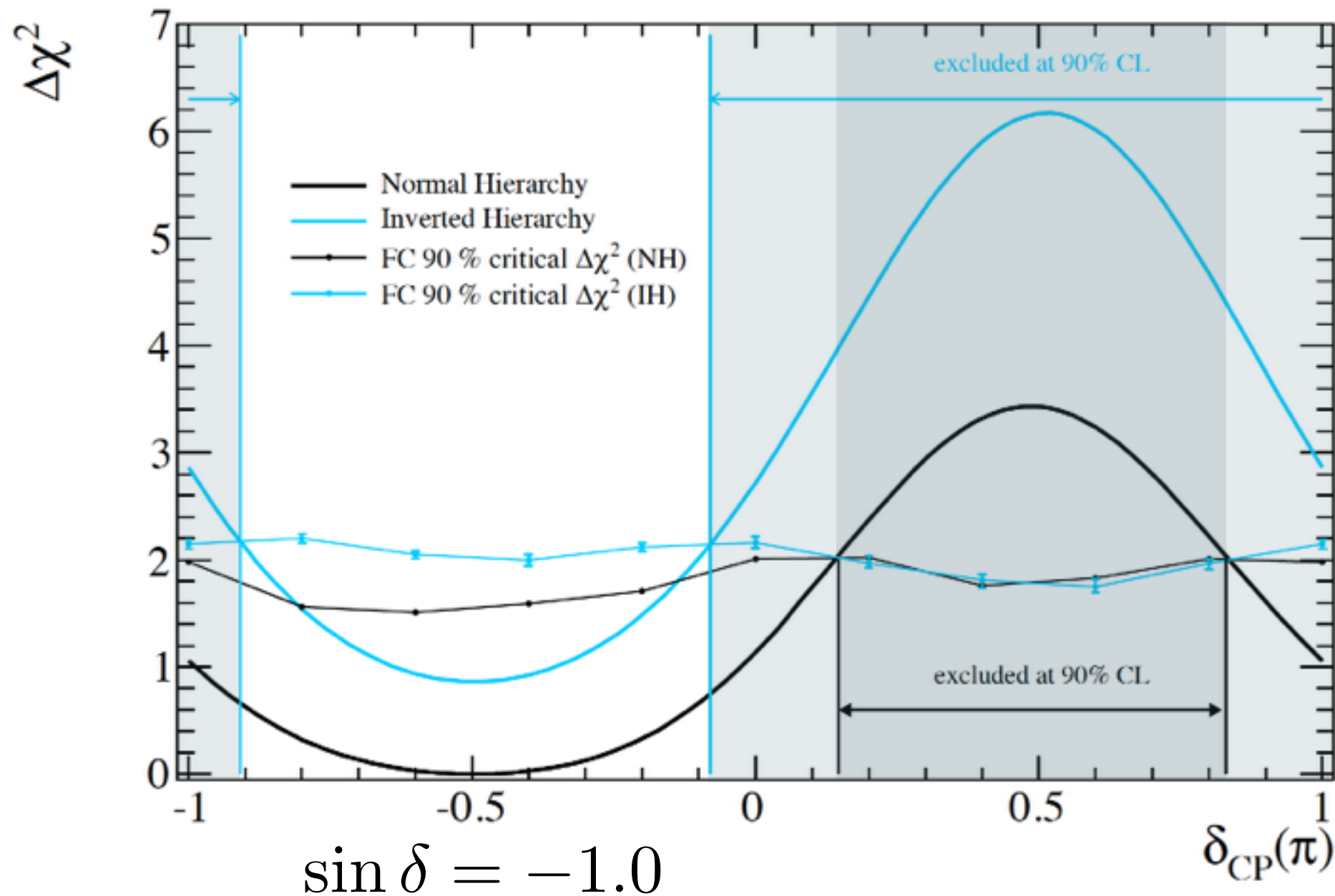
- normal hierarchy
- $\theta_{23} > 45^\circ$
- $\delta_{CP} = -\pi/2$ (aka $3\pi/2$)



Borrowing an illustration from the NOvA experiment



Combining T2K with Reactors

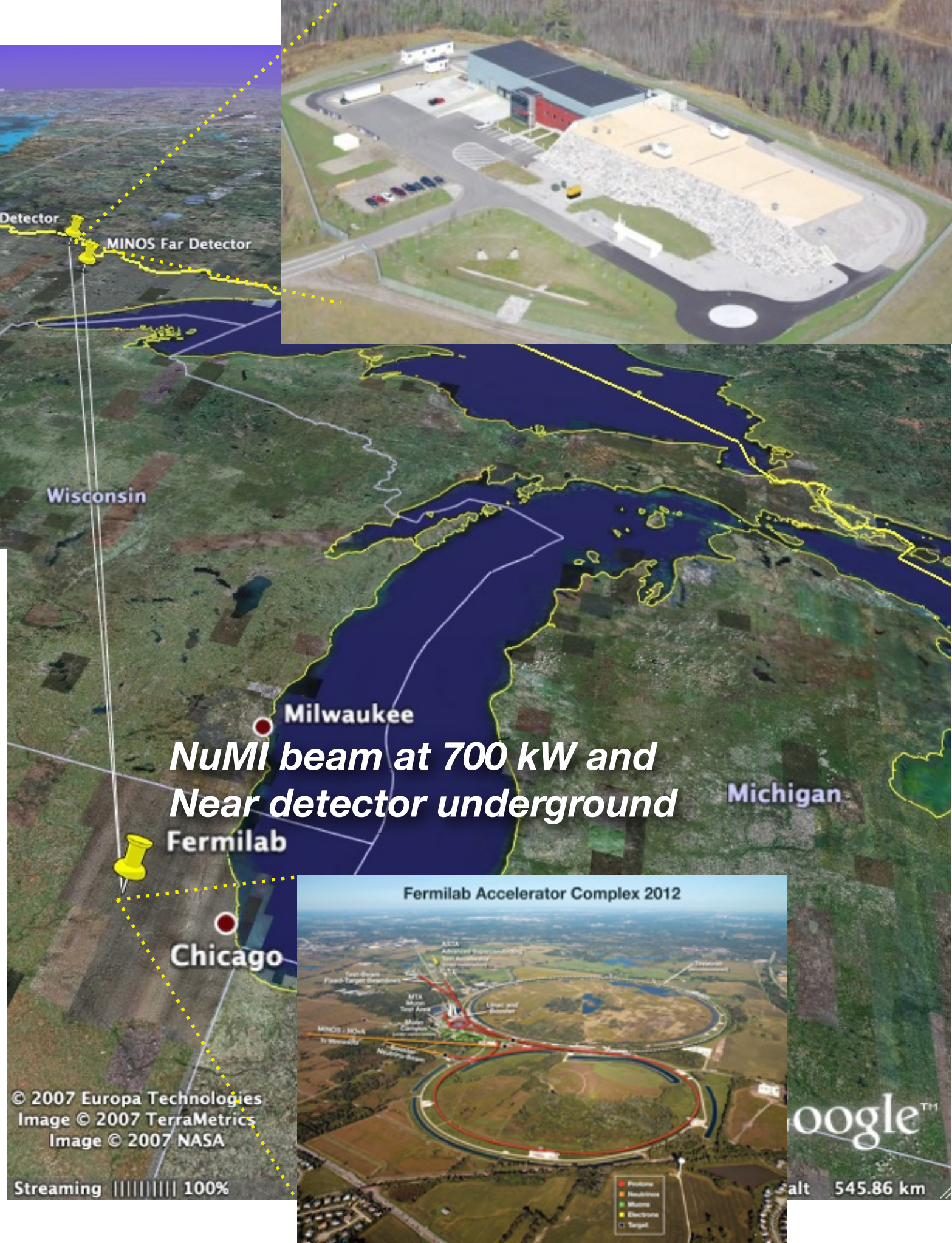
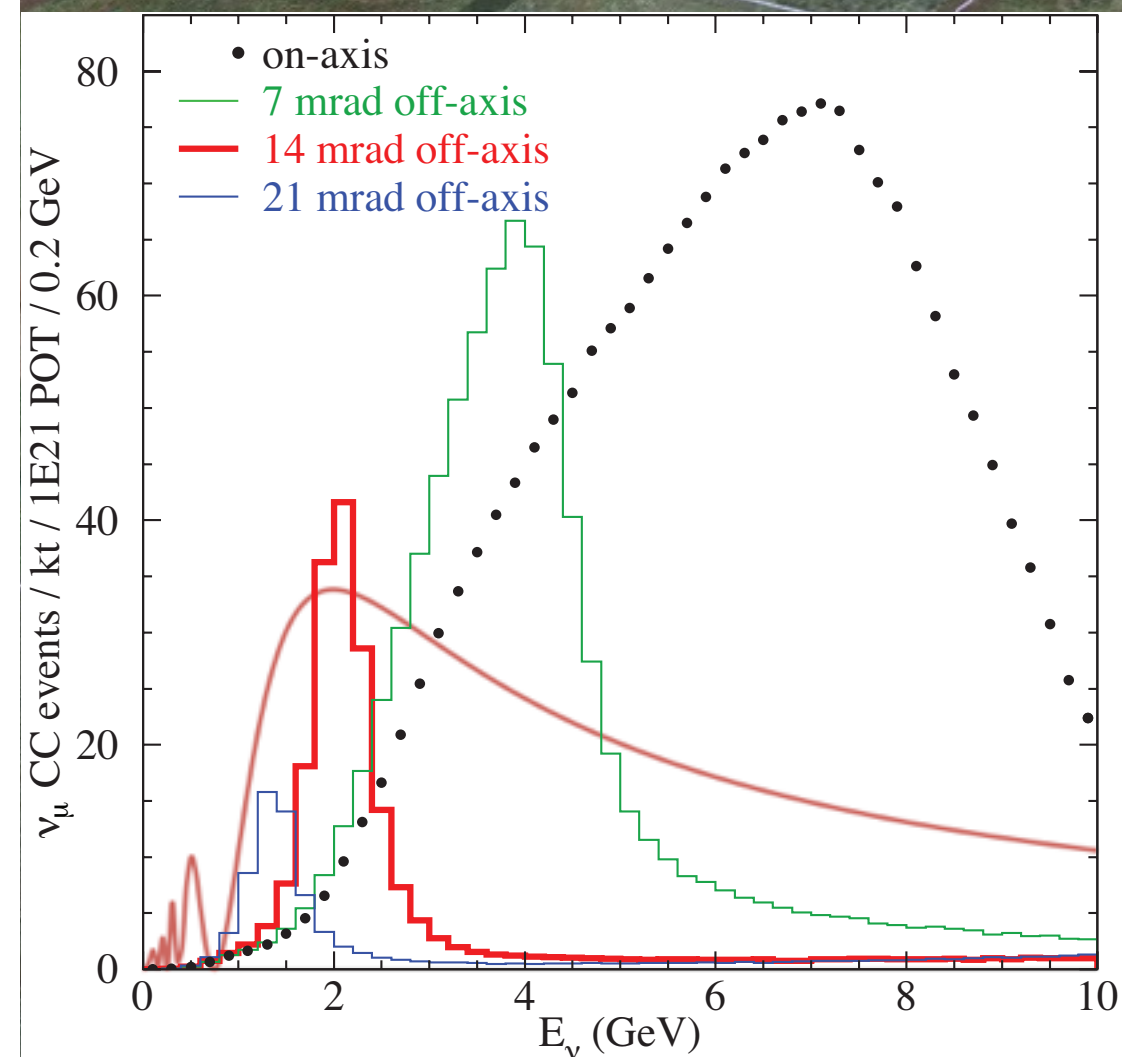


The tension with reactors gives some early sensitivity to δ_{CP}
T2K data prefers the normal hierarchy with $\delta_{CP} < 0$ at $\sim 90\%$ C.L.

NOvA Experiment

Ash River, MN
810 km from Fermilab

Medium Energy Tune



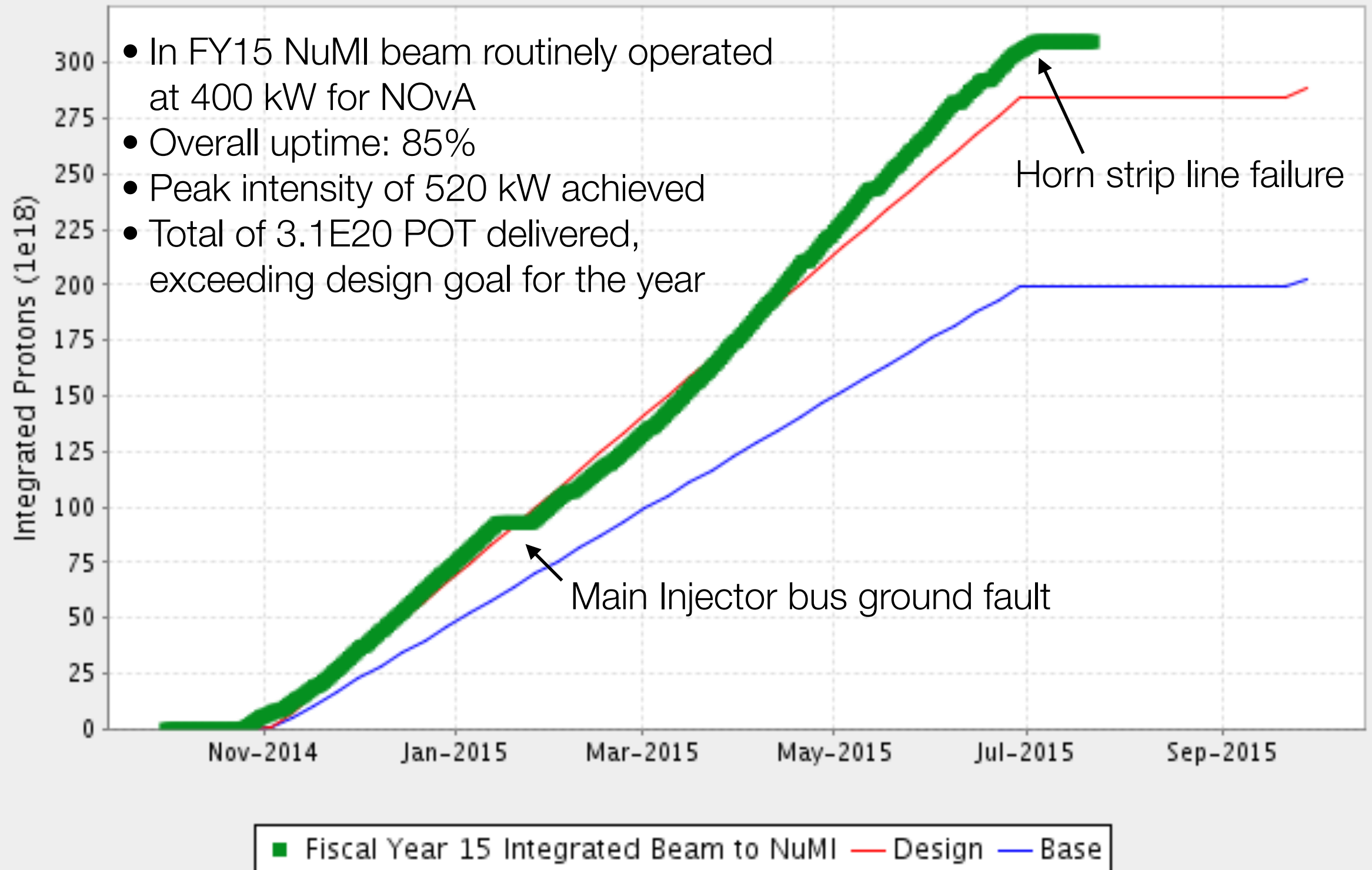
Summary of sensitivity of $\nu_\mu \rightarrow \nu_e$ rates to physics parameters

Factor	Type	Inverts for $\bar{\nu}$?	NOvA	T2K
Matter effect (mass ordering)	Binary	Yes	$\pm 19\%$	$\pm 10\%$
CP violation	Bounded, continuous	Yes	$[-22 \dots +22]\%$	$[-29 \dots +29]\%$
θ_{23} octant	Unbounded, continuous	No	$[-22 \dots +22]\%$	$[-22 \dots +22]\%$

Nota bene:

- Calculations are for rate only; there is some additional information in the energy spectrum
- These estimates neglect non-linearities in combining different effects
- In the calculation of the matter effect and CP violation effects the calculated values account for the fact that T2K runs at an energy on the first oscillation maximum while NOvA runs at an energy slightly above the oscillation maximum
- θ_{23} was varied inside the $\pm 2\sigma$ range found by a recent global fit (PRD 90, 093006)

FY15 Integrated Beam to NuMI



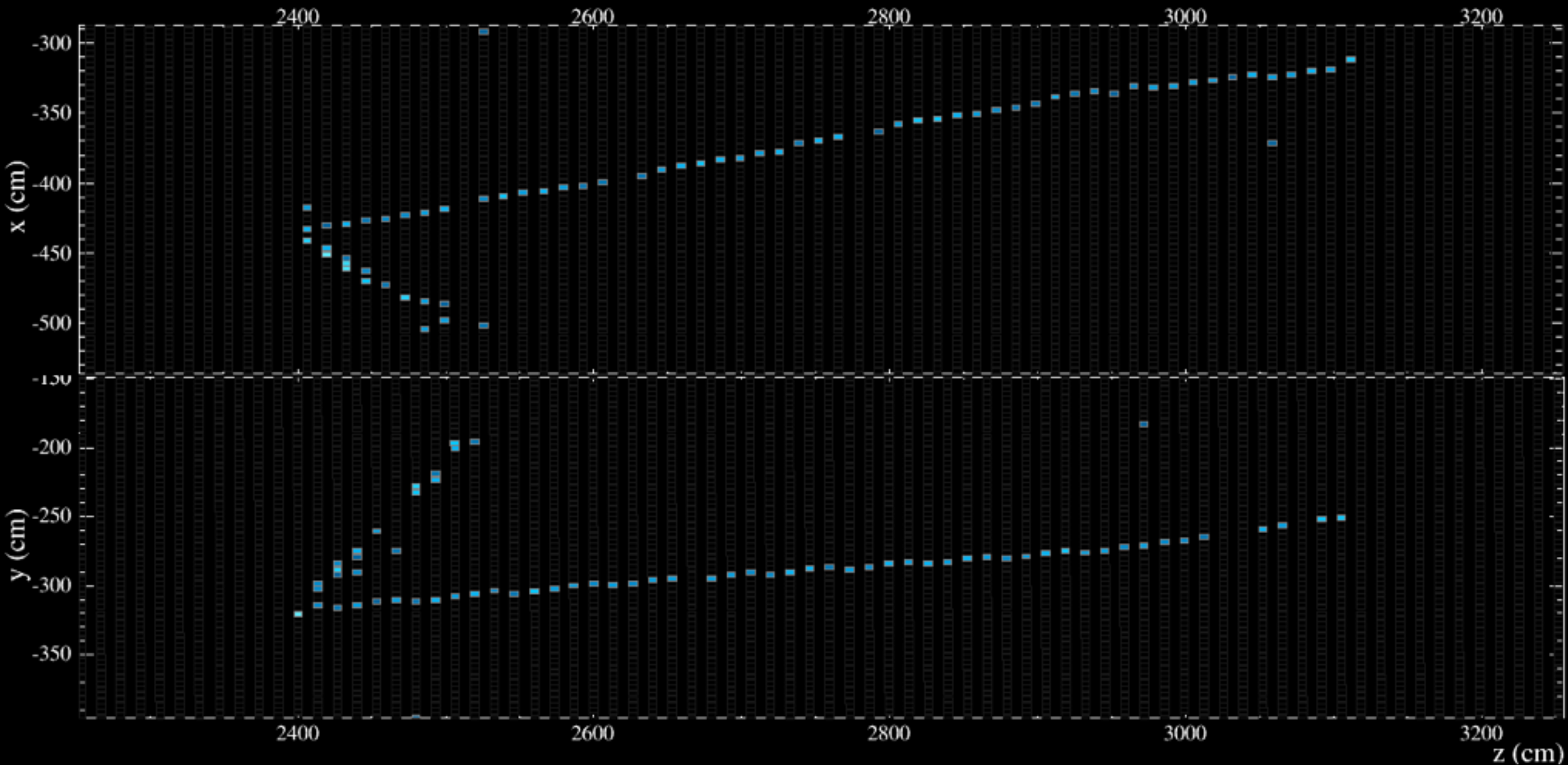
US FY2015 NuMI Beam
Performance

NOvA Far Detector



NOvA Far Detector completed in July 2014
On time, under budget!
Running with >99% active channels and >95% uptime
Reporting first results today

NOvA ν_μ Charged-current candidate



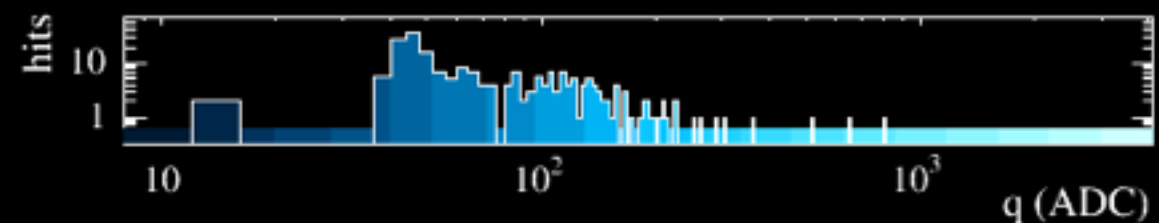
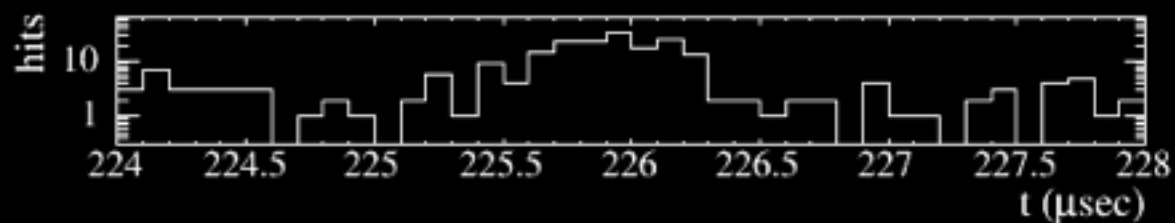
NOvA - FNAL E929

Run: 14828 / 38

Event: 192569 / NuMI

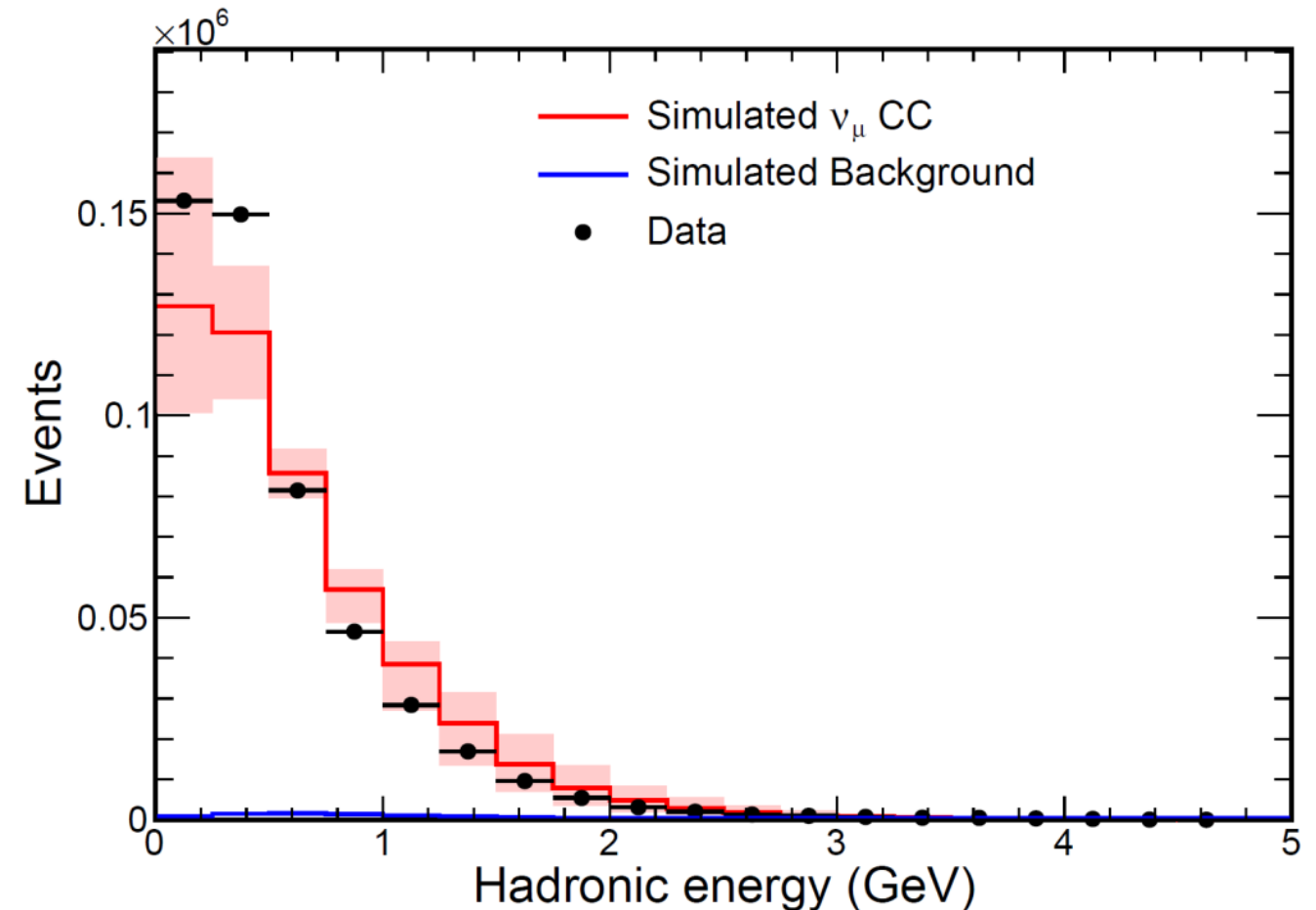
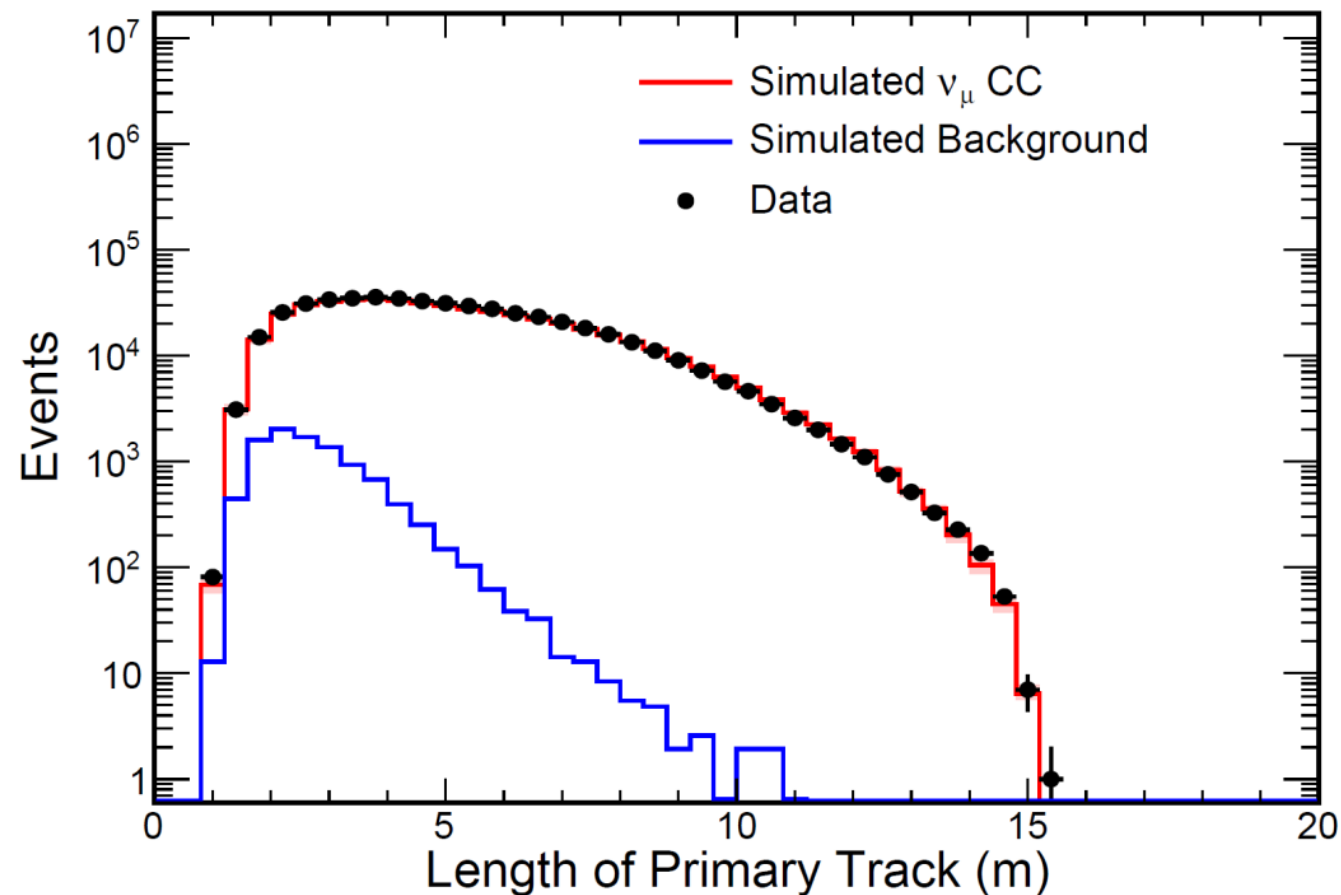
UTC Tue Apr 22, 2014

21:41:51.422846016



NOvA Near Detector

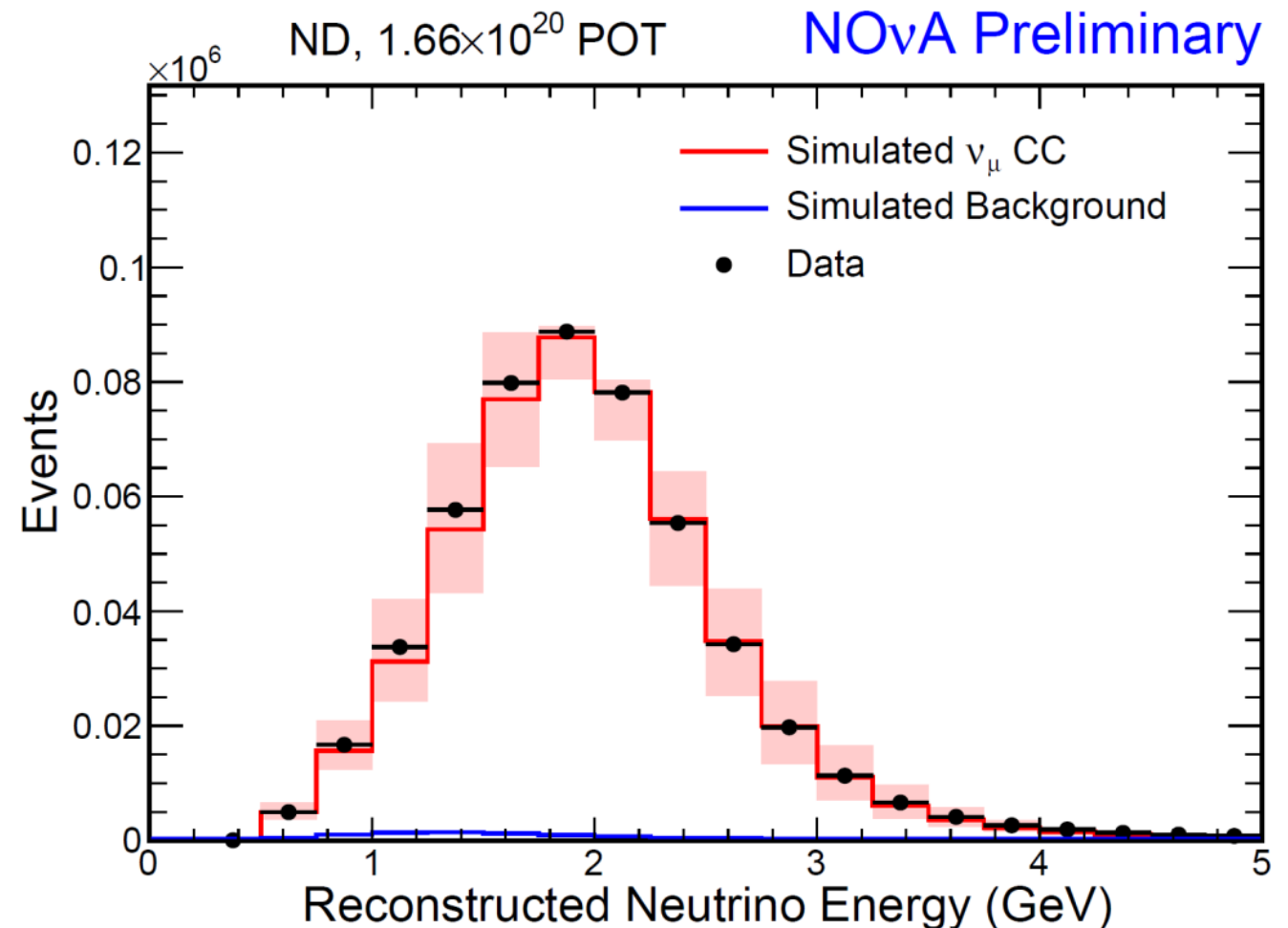
ND, 1.66×10^{20} POT



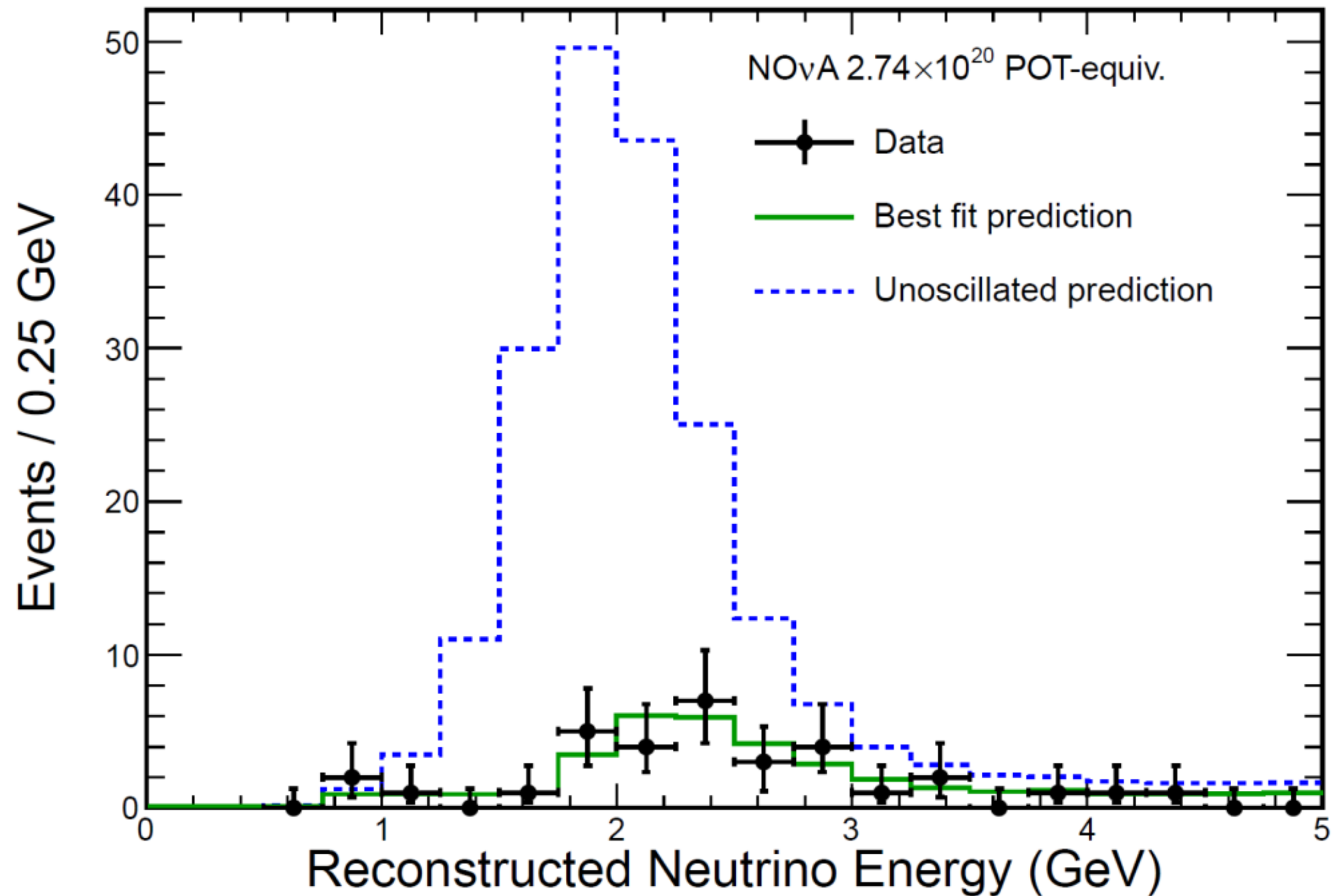
NOvA Neutrino Energy Reconstruction

$$E_\nu = E_\mu + E_{\text{hadrons}}$$

- Muon variables agree well
- Monte Carlo puts 21% more energy into hadron system than seen in data
- Results in 6% overall neutrino energy scale uncertainty
- Data from NOvA and MINERvA should help with this



NOvA Preliminary



**NOvA Far detector
muon neutrino spectrum**

201 events expected before
oscillations
33 events observed

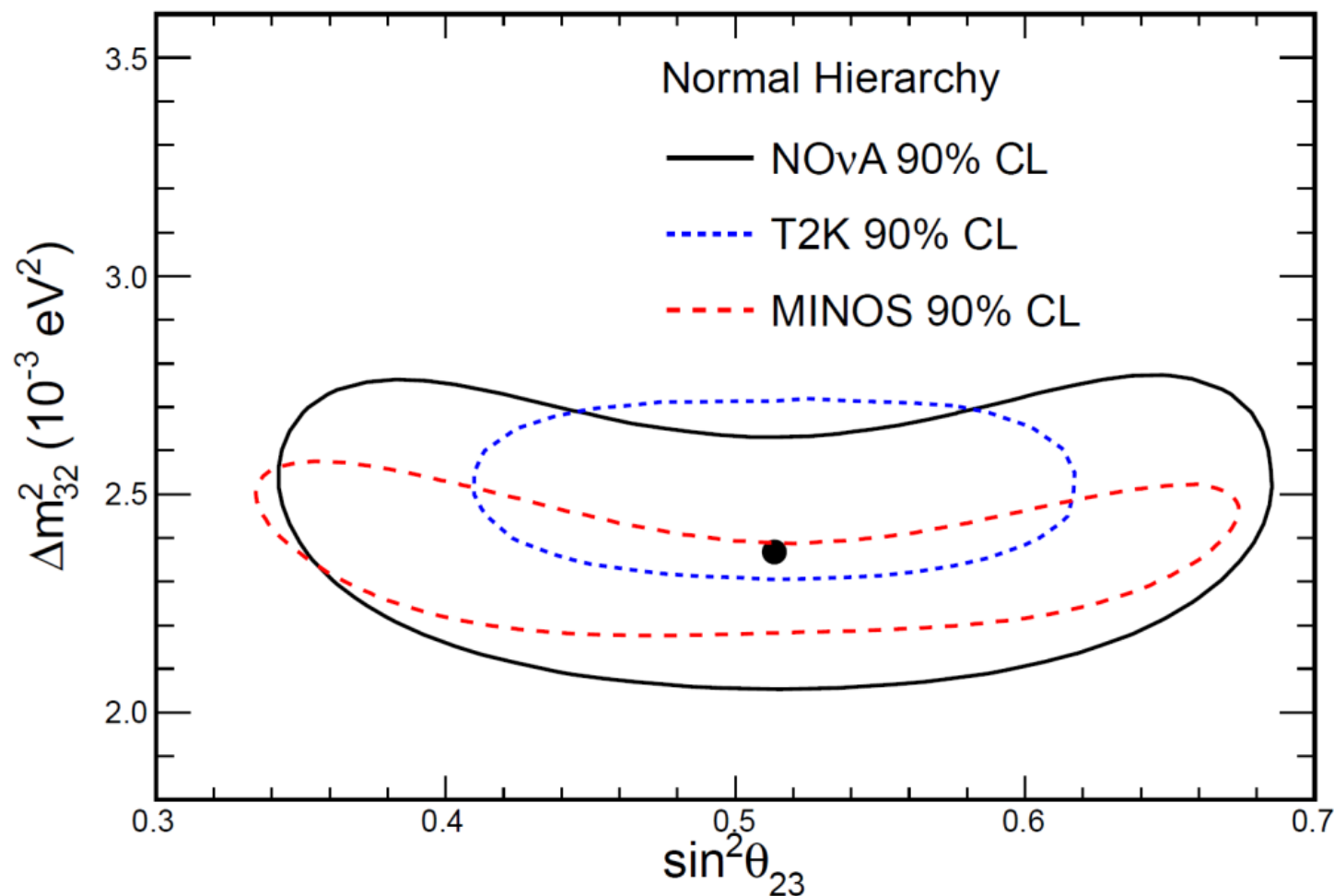
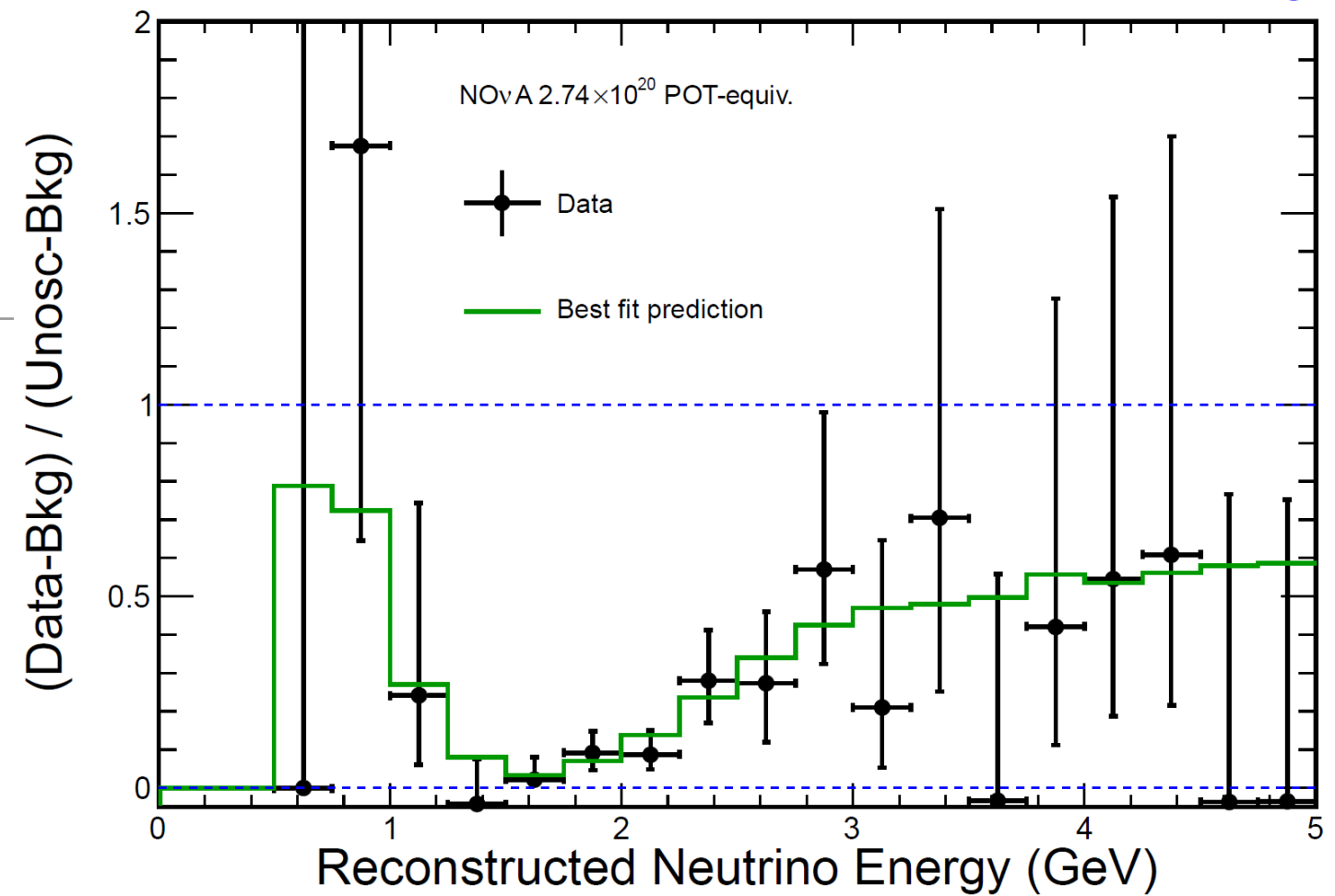
NOvA

ν_μ Disappearance

$$\Delta m_{32}^2 = +2.37^{+0.16}_{-0.15} \text{ [normal ordering]}$$

$$\Delta m_{32}^2 = -2.40^{+0.14}_{-0.17} \text{ [inverted ordering]}$$

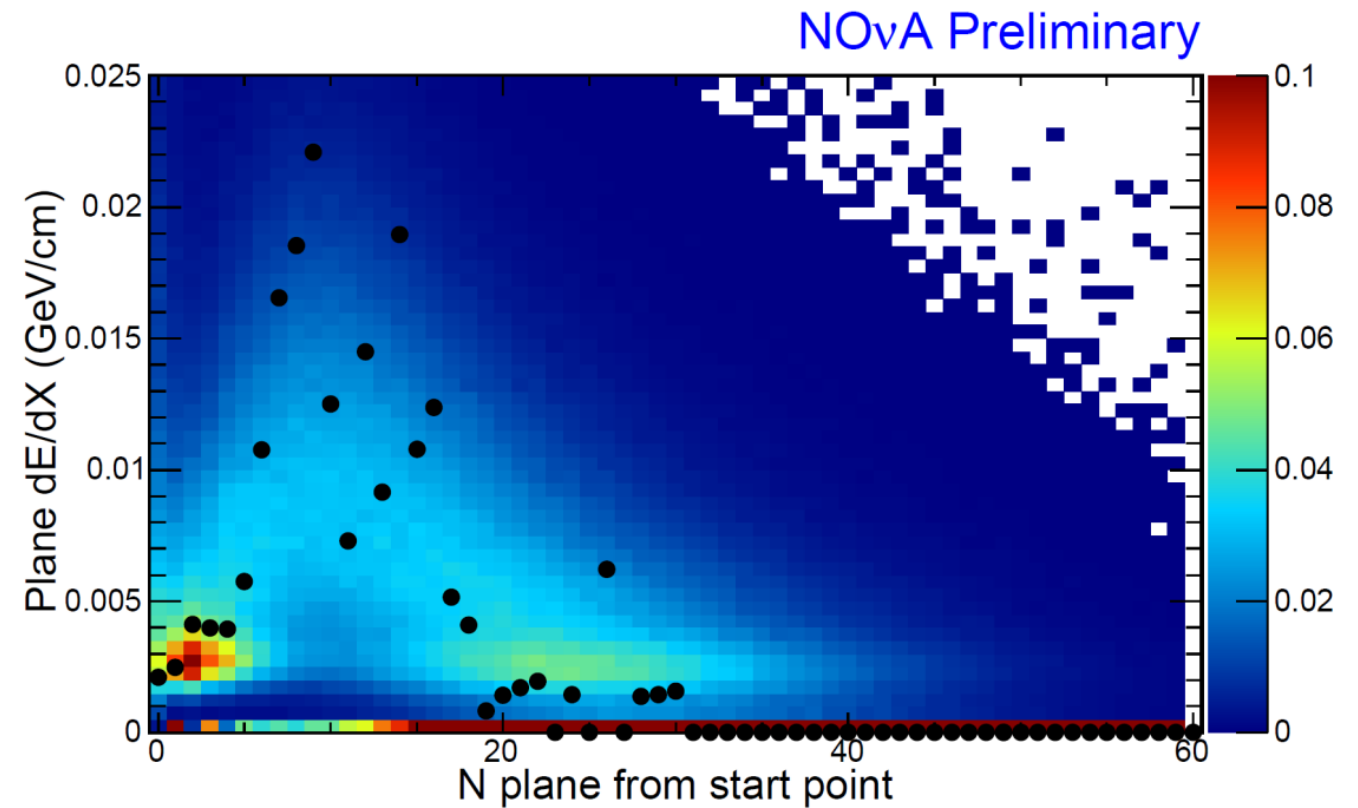
$$\sin^2 \theta_{23} = 0.51 \pm 0.10$$



ν_e Identification in NOvA

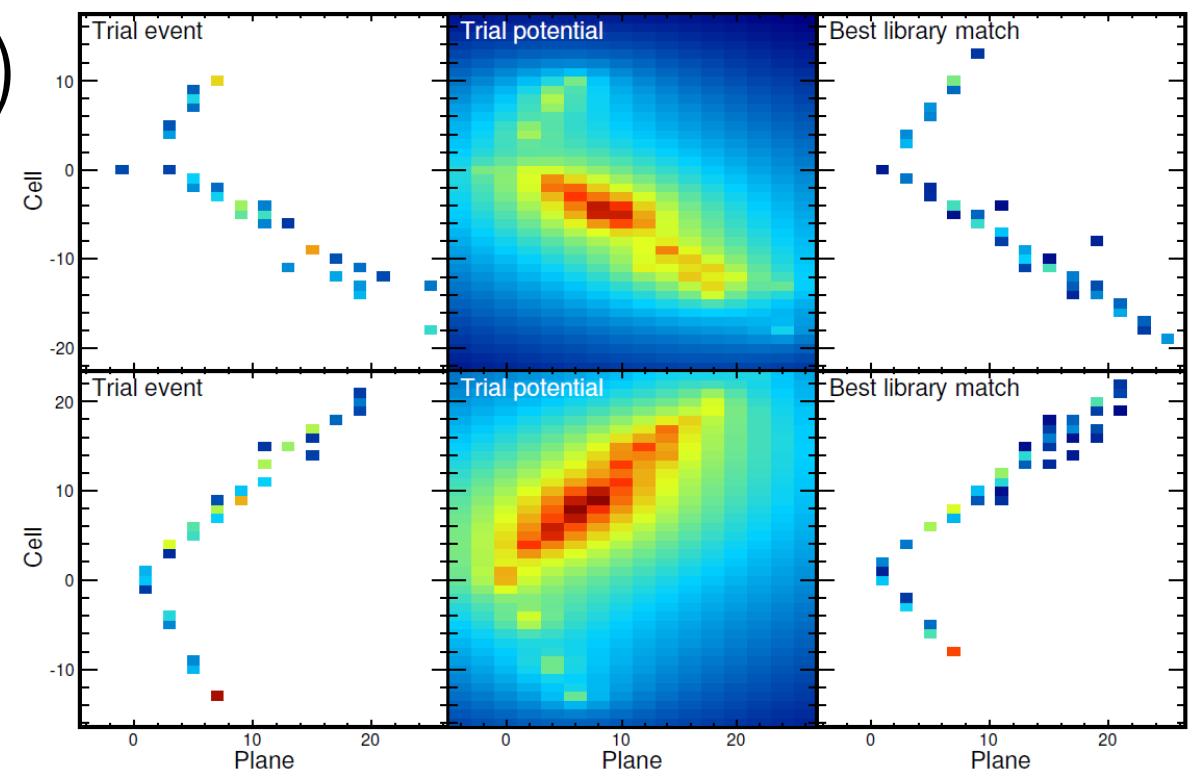
Likelihood Identifier (“LID”)

Tests event longitudinal and transverse shower dE/dx profiles against probability density functions for $e/\mu/\pi/p$ hypotheses



Library Event Matching (“LEM”)

Tests entire event topology against a large library of simulated neutrino signal and background events. Assigns event characteristics according to top matches.



NOvA ν_e Selection

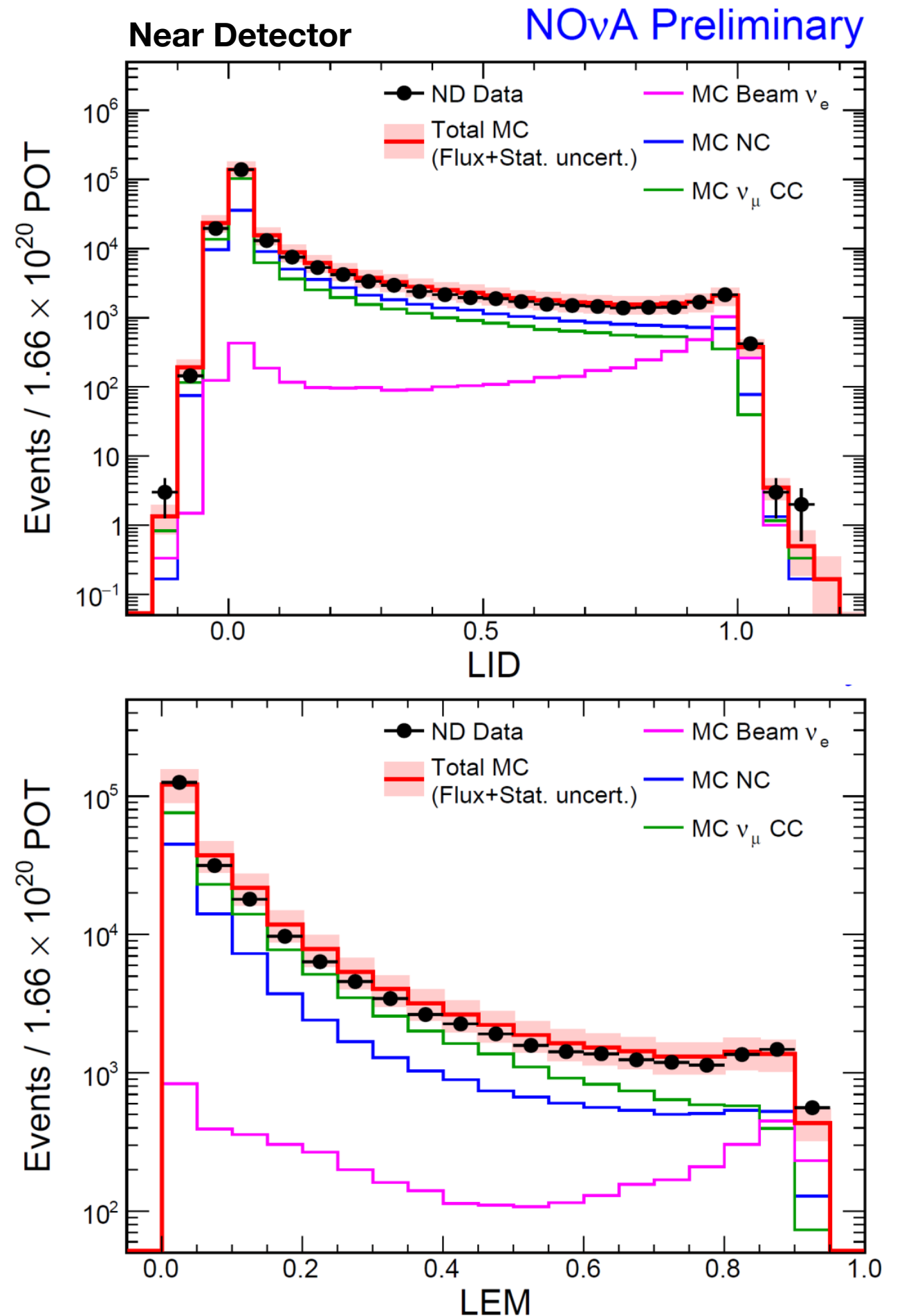
Based on near detector measurements predict:

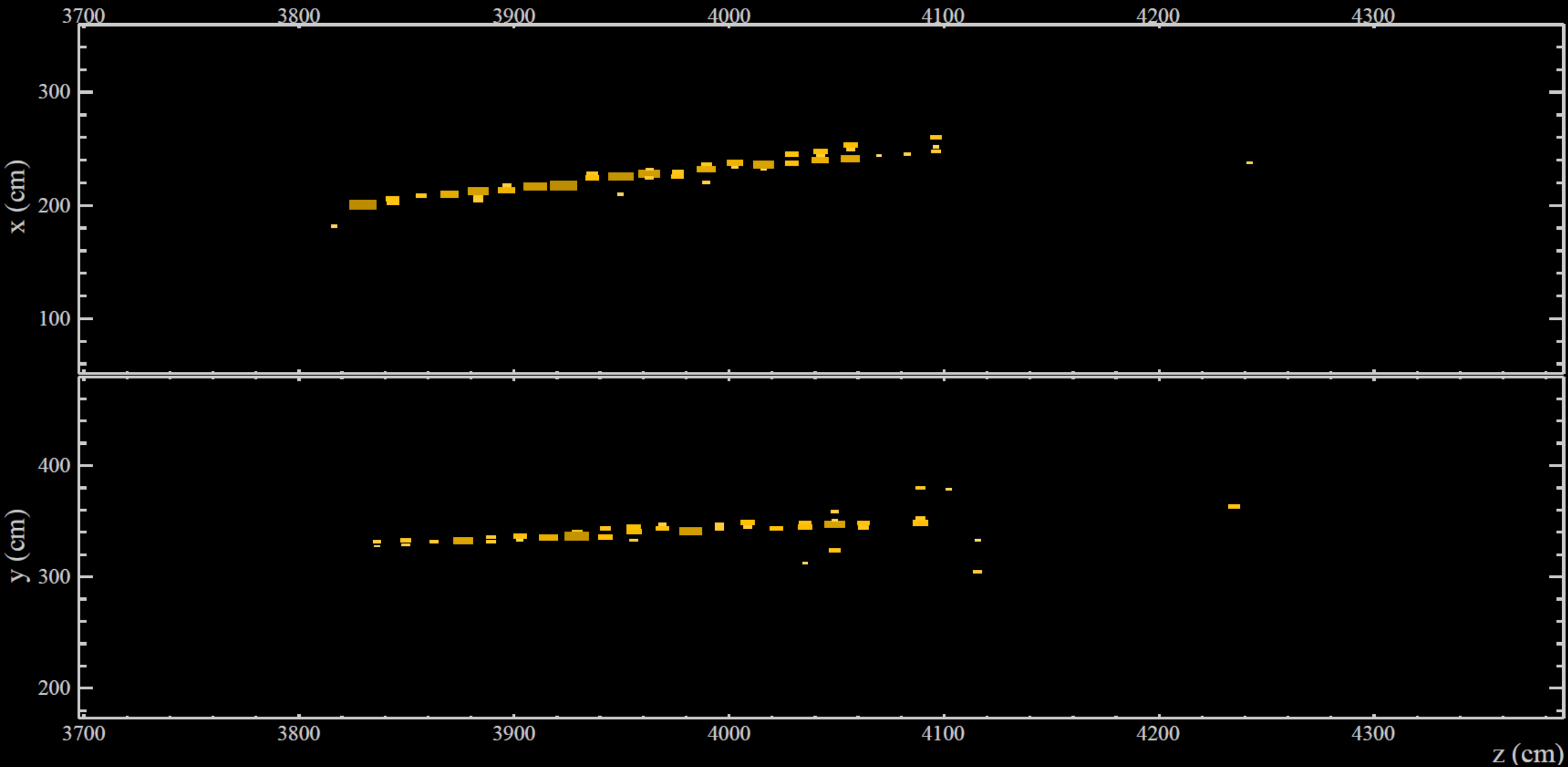
1 ± 0.1 background events

2 ± 0.3 signal [IO $\delta_{CP}=\pi/2$]

6 ± 0.7 signal [NO $\delta_{CP}=3\pi/2$]

at far detector for
 $\sin^2\theta_{23}=0.5$





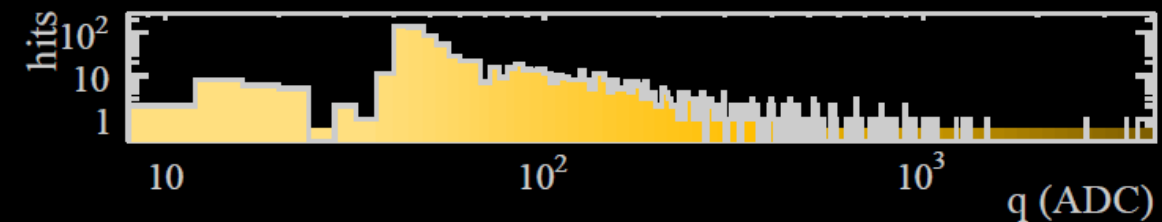
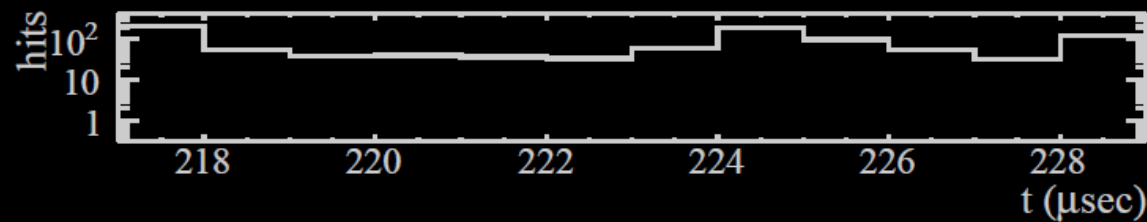
NOvA - FNAL E929

Run: 17103 / 7

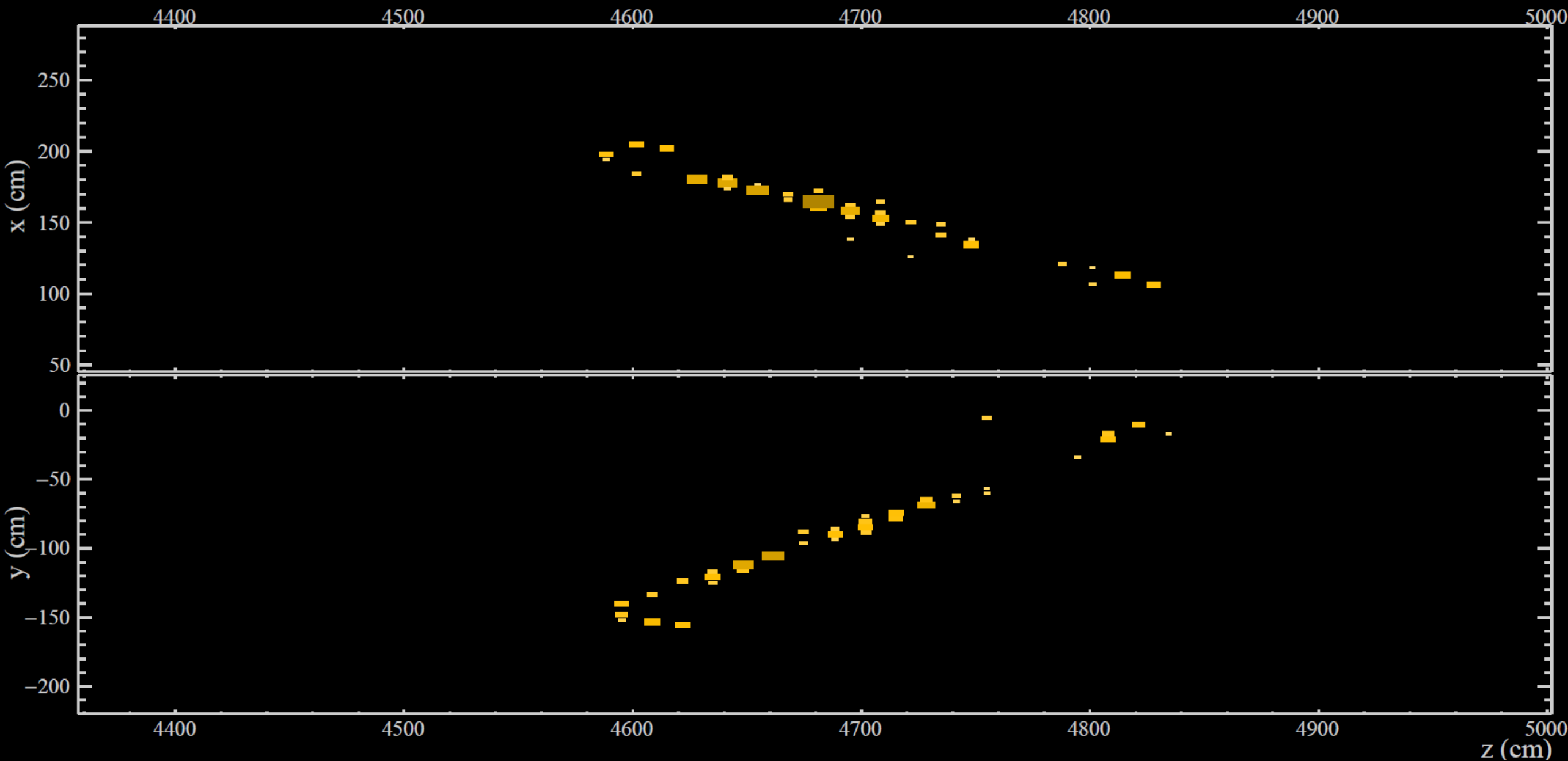
Event: 27816 / --

UTC Wed Sep 3, 2014

10:04:58.572014784



NOvA ν_e Candidate



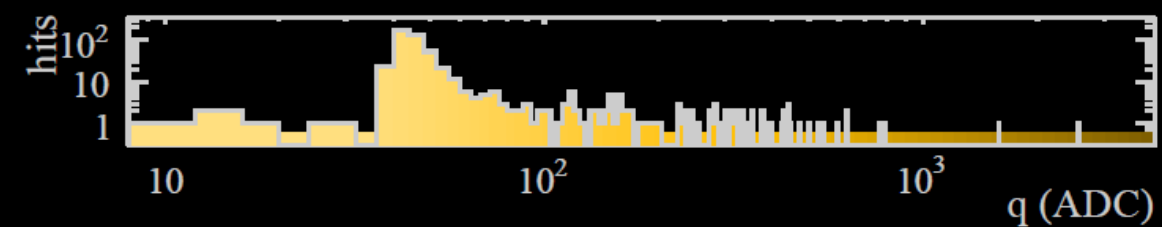
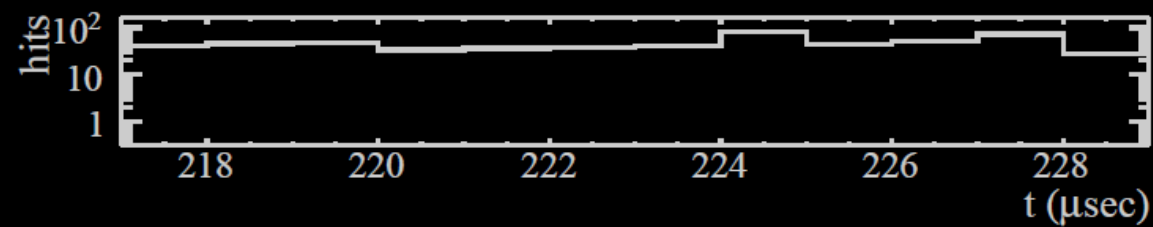
NOvA - FNAL E929

Run: 19165 / 62

Event: 920415 / --

UTC Mon Mar 23, 2015

11:43:54.311669120

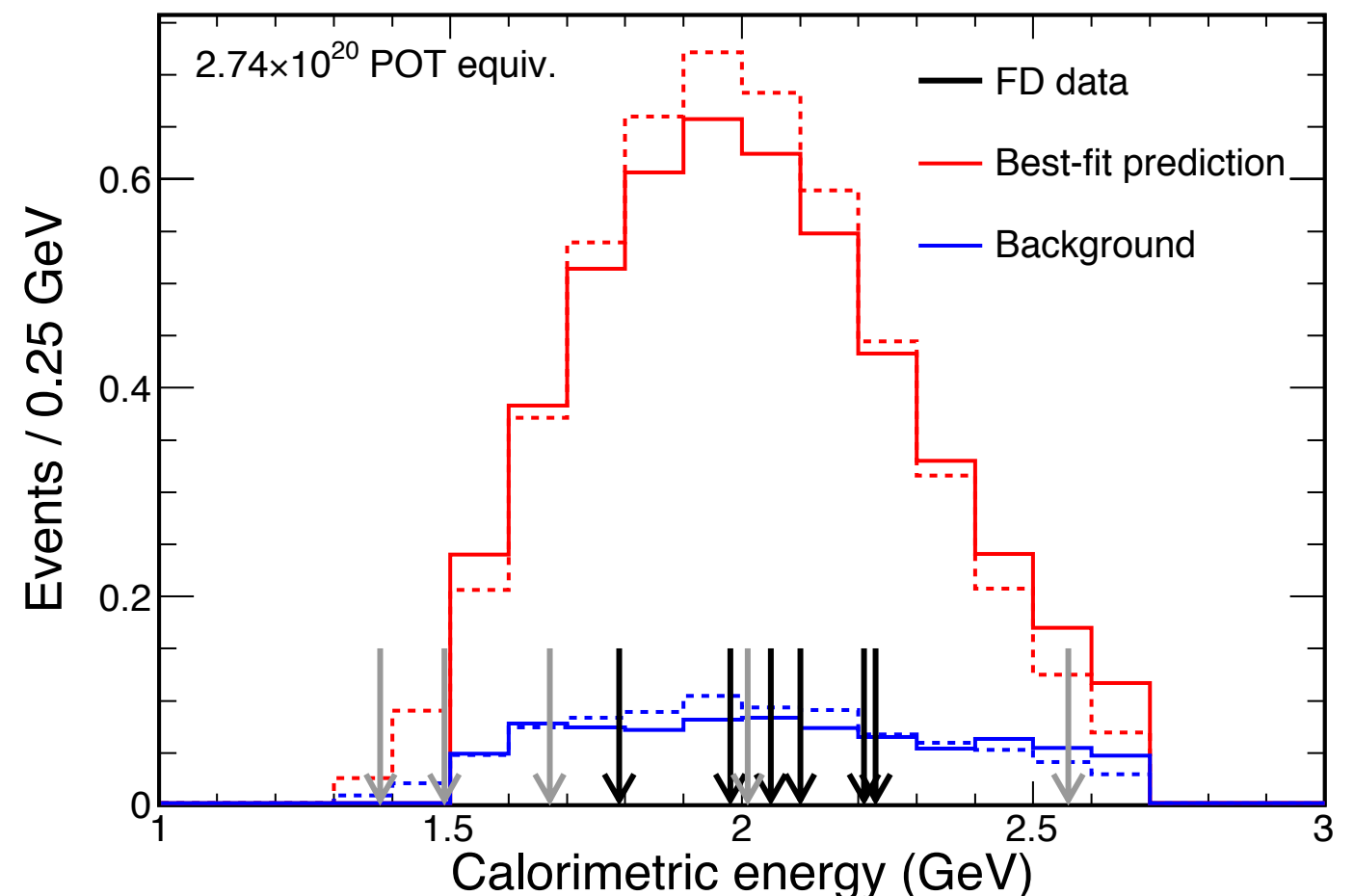
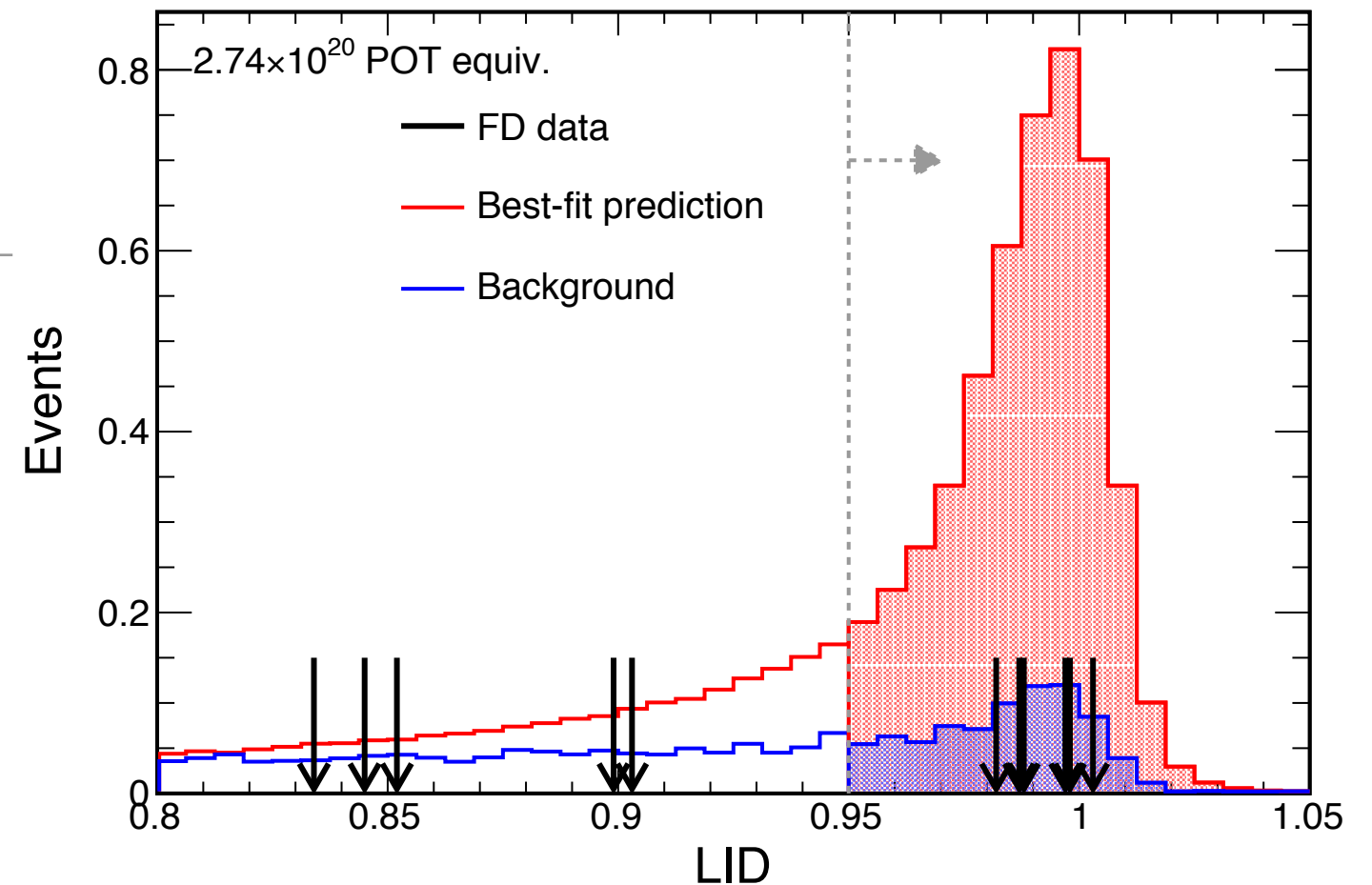


NOvA ν_e Candidate

NOvA Electron Neutrino Appearance

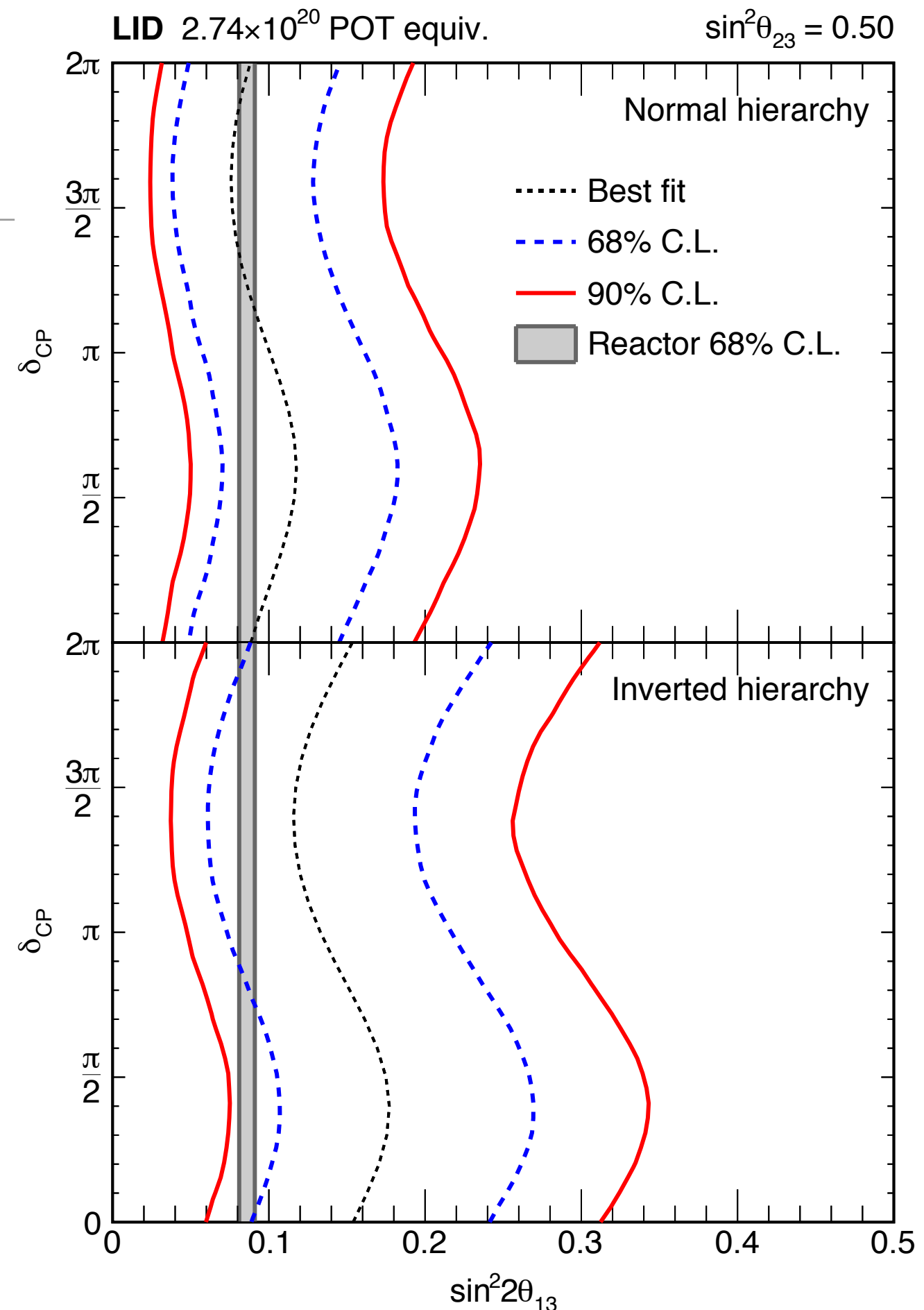
- These select **6 (LID)** and **11 (LEM)** events. All 6 of the LID events are selected by LEM. Expected **background is 1 event** for each. These are **3.3 σ** and **5.5 σ** significant excesses over background.
- LID and LEM have 60% overlap, determined from simulation and checked in NOvA near detector. The P-value for selecting the combination (11:6/5/0) is 11%.
- Top plot shows the LID particle IDs for the 11 selected events. The LID&LEM events are to the right of the dashed line. The 5 LEM-only events are shown to the left. Bottom plot shows the energy spectrum of the 11 events. LID are in black, LEM in gray.

NOvA Preliminary



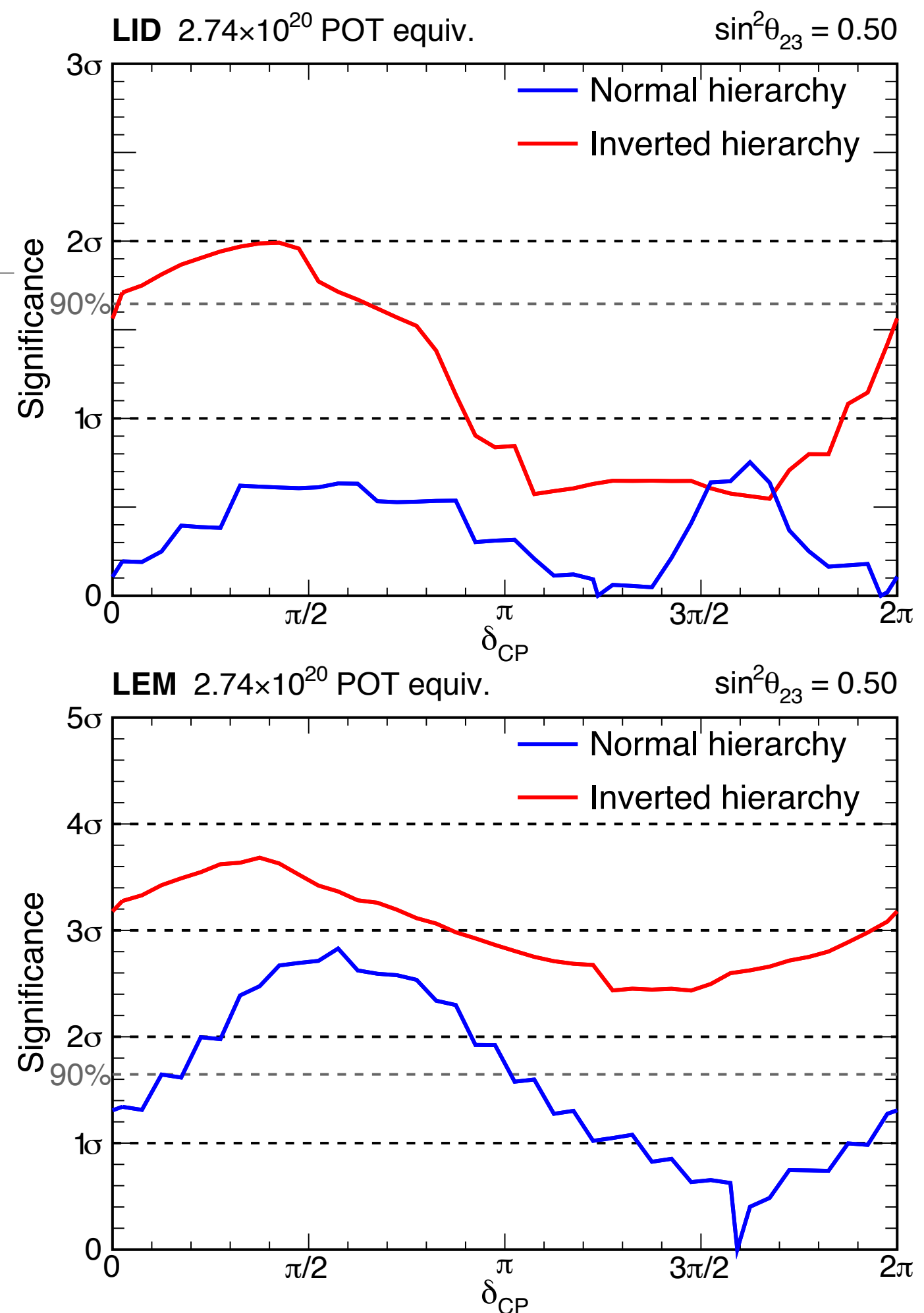
NOvA Electron Neutrino Appearance

- Results show good consistency between NOvA (s-curves) and reactor experiments (gray band) for normal (top) and inverted mass ordering (bottom).
- Agreement is $\sim 1\sigma$ better for the normal ordering
- This plot is for LID selector ($n=6$). For LEM ($n=11$) the s-curves shift $\sim x2$ to the right increasing tension for the inverted mass ordering. See next page.



NOvA Electron Neutrino Appearance

- If we take the reactor measurement of θ_{13} as an input we can ask how well the NOvA event counts fit to particular choices of the mass ordering and δ_{CP}
- Both LID and LEM prefer normal mass ordering with δ_{CP} between π and 2π
- For LID ($n=6$, top plot) there is some tension with the inverted hierarchy especially for δ_{CP} near $\pi/2$
- For LEM ($n=11$, bottom plot) the inverted hierarchy is everywhere disfavored at 2σ
- **Beware of trials factor of choosing to only look at LEM results** - true answer is most likely somewhere in between top and bottom results. We will have roughly x2 more data to report at Neutrino in July, 2016
- A further note: The jagged contours are a result of small-number statistics



Summary

Measurements using atmospheric neutrinos, reactor neutrinos and long-baseline neutrinos form a consistent picture

- Large θ_{23} ($0.4 < \sin^2\theta_{23} < 0.6$)
- Precisely known $\theta_{13} = 8.4^\circ$
- Consistent hints favoring
 - $\pi < \delta_{CP} < 2\pi$
 - normal mass ordering
- First data from NOvA strengthens this picture with more data to come